

**Ministry of Gender, Children & Social Development; University of North Carolina; Research Solutions Africa  
Health, Economic, Demographic and Social Survey of Families with OVC 2011 (HEDS-OVC)**

Questionnaire serial number

**Metadata – ALWAYS FILL IN BASIC INFORMATION BEFORE THE INTERVIEW**

- |   |  |  |
|---|--|--|
| 1. Today's date:                              | <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> (DD-MM-YY) |  |
| 2. Time start interview:                      | <input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> (24 hr clock)  | 13. Main respondent name <input type="text"/>  |
| 3. Duration of interview:                     | <input type="text"/> <input type="text"/> : <input type="text"/> <input type="text"/> (24 hr clock)  | 14. Main respondent ID <input type="text"/> <input type="text"/>   |
| 4. District:                                  | <input type="text"/> <input type="text"/>  | 15. Interviewer name and code <input type="text"/> <input type="text"/>  |
| 5. Location (sub-location in Nairobi):        | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>  | 16. Supervisor name and code <input type="text"/> <input type="text"/><br>(Supervisor, please sign next to name after checking the work)   |
| 6. EA:  | <input type="text"/> <input type="text"/> <input type="text"/>   | 17. How many times have you had to visit before completing the interview? <input type="text"/>   |
| 7. Community:                                 | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>  | 18. Outcome of final visit: <input type="text"/><br>(1) Completed<br>(2) No member at home or no competent respondent<br>(3) Refused<br>(4) Household moved ( fill tracking sheet)<br><u>If new (tracking) household, write down address and directions to house</u> |
| 8. Moved Household?                           | YES <input type="text"/> NO <input type="text"/>   |  |
| 9. GPS Coordinates<br>( moved household only) | South <input type="text"/><br>East <input type="text"/>  |  |
| 10. Household Code                            | <input type="text"/>   |  |
| 11. Household type                            | (from listing form; circle one) A B  |  |

**INFORMED CONSENT STATEMENT [Read to respondent and make sure s/he understands his/her rights. Have respondent sign this sheet and a copy, and leave copy with respondent]**

**CONSENT**

Hello. My name is \_\_\_\_\_ from Research Solutions. In collaboration with the District Children's Office and the University of North Carolina (USA) we are conducting a follow-up study to understand the living conditions of orphans and vulnerable children and their families and caregivers. We would like you to answer questions in a survey, and to measure the height and weight of each child 10 years of age and younger. We would also like to ask some questions directly to children aged 15-17 about their life, including their friends, their mental health, and their romantic and sexual relationships.

Your answers will help the District Children's Office understand your living conditions and to better target services and opportunities to the community. **In appreciation for your time, we will offer you a bamba 50 at the end of the interview.** The information we collect from this study will be used by the Government of Kenya to identify the needs of families caring for orphans and other vulnerable children and to improve services. Approximately 2500 families are participating in this study across Kenya.

The survey takes approximately 60 minutes to complete. Your name will be kept private and separate from the information you provide, in a secure office. Only researchers at Research Solutions and the University of North Carolina will have access to your personal details as part of their job.

You or your teenage children may feel uncomfortable answering some questions. You do not have to answer any question you do not want to answer. We will not share your answers with anyone. We may suggest services if someone in the household needs medical or other help. If you or your child does not agree to take part in the study, it will not change any services or benefits that your household receives now or may receive in the future. You can choose not to participate in the survey; if you participate, you can stop at any time without problems.

By signing below or giving your thumbprint, you agree that you have been told about the study and agree to take part. If you have questions about this study, you may contact Wanjiku Gacuru at Research Solutions (Tel: 072 172 1357). If you have questions about your rights you may reach THE SECRETARIAT, Kenya Medical Research Institute (TEL: 020 272 2541 or 0722 205901 or 0733400003). If there is any part of this explanation that you do not understand, you should ask before signing.

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Signature of Respondent (or third party testifying to consent)

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Name of Interviewer

### Section A1: Household Composition Confirmation

[Read out names of individuals from pre-filled prior roster sheet. Indicate whether person still lives in household. If person not in household complete Q6-Q9. If person is tracking target (age 13-22 in 2009) complete tracking form.

Q1	Q2	Q3	Q4	Q5	Q6	Q7	Q8	Q9
Roster ID 2007	Roster ID 2009	Age in 2009  [If no age in 2009, add 2 to 2007 age and write here]	Is person still residing in household?  Yes =1 No =2 >>Q6	New Roster ID  (assign 2009 ID if exists, else assign ID starting with 931, 932, 933 and so on)  GO TO SECTION A2/NEXT PERSON	Why is [NAME] no longer in the household?  Moved for work =1 Moved for school =2 Moved to live w/ relatives =3 Death of caregiver(s) residing in this household=4 Death of person living outside this household =5 Person died =6 Marriage =7 Pregnancy =8 Set up new household =9 Recover from illness =10 Break-up of household =11 DK =98	How long has [NAME] not been part of this household?  <=1 month =1 2-6 months =2 7-12 months =3 >12 months =4 DK=98	Where did [NAME] move to?  Live with other family members in SAME community =1  Live with NON-family members in SAME community = 2  To live with family members outside community = 3  To live with NON family members OUTSIDE community = 4  Died=5 DK/Other = 98	Is individual a tracking target?  [Yes if age 13-22 in 2009 and present in 2009]  Yes=1 [Fill tracking form then go to SECTION A2]  No=2 [GO TO SECTION A2]

Interviewer: Ask if there is anyone living in the house currently but not mentioned already? If yes, GO TO A2, otherwise GO TO B1.

**Section A2: New Household Members Listing**

[List new and ‘not listed’ household members.]

Q10	Q11	Q12	Q13	Q14	Q15
What is person’s first name?	What is person’s last name?	<p>New Roster ID</p> <p>(Assign ID starting with 931, 932, 933 and taking care to continue sequence from Section A1)</p>	<p>Why did [NAME] join this household?</p> <p>Moved for work =1  Moved for school =2  Moved to live w/ relatives =3  Death of caregiver(s) residing in former household=4  Death of person living in this household =5  Not listed in prior survey = 6  Marriage =7  Pregnancy =8  Set up new household =9  Recover from illness =10  Break-up of former household =11  New born child = 12  DK/Other =98</p>	<p>How long has [NAME] been part of this household?</p> <p>&lt;=1 month =1  2-6 months =2  7-12 months =3  &gt;12 months =4  DK=98</p>	<p>Where did [NAME] move from?</p> <p>From other family members in SAME community =1</p> <p>From NON-family members in SAME community = 2</p> <p>From family members outside community = 3</p> <p>From NON family members OUTSIDE community = 4</p> <p>DK /Other= 98</p>

## Section B1: Roster

[For this section, we would like to talk to the head of the household or an adult who knows about the household. We would like to know about each person who uses this household as their main place of residence, even if they may be away for long periods of time to work, receive education or visit relatives. Please include any children who are fostered into the household and any servants (houseboy or housegirl).]

Q1	Q2	Q3	Q4	Q5	Q6	Q7	Q8	Q9	Q10	
<p>List all household members from Sections A1 and A2. Start with original household members (list in decreasing order of age) and then add new members (in decreasing order of age, oldest first).</p> <p><b>Definition of household:</b> A group of people who live under the same roof and eat together. If a household member is living elsewhere for the majority of the year do not include them in the roster.</p> <p>Children of any age away from home on a temporary job or <b><u>children under 19 at boarding school are included in the household</u></b></p>	<p>What sex is [NAME]?</p> <p>Male=1 Female=2</p>	<p>Relationship to head of household</p> <p>Head 01</p> <p>-----</p> <p>Wife / Husband / Partner 02</p> <p>-----</p> <p>Son / Daughter 03</p> <p>-----</p> <p>Son-in-law/ Daughter-in-law 04</p> <p>-----</p> <p>Grandchild 05</p> <p>-----</p> <p>Father / Mother 06</p> <p>-----</p> <p>Brother / Sister 07</p> <p>-----</p> <p>Stepchild 08</p> <p>-----</p> <p>Nephew / niece 09</p> <p>-----</p> <p>Adopted – related to household head 10</p> <p>-----</p> <p>Fostered - related to household head 11</p> <p>-----</p> <p>Fostered – not related to household head 12</p> <p>-----</p> <p>Other relative (e g. brother-in-law) 13</p> <p>-----</p> <p>Other non-relative (including servants) 14</p> <p>-----</p>	<p>How old is [NAME]?</p> <p>Write age in years. If under 1 year write 00, if not known write 9999</p> <p>If age&lt;11 go to B2</p>	<p>What is [NAME]’s marital status?</p> <p>Currently married....01</p> <p>Living together.....02</p> <p>Widow/widower.....03</p> <p>Divorced/separated...04</p> <p>Never married.....05</p> <p>If codes 3,4,5 &gt;&gt;Q10</p>	<p>Does spouse or partner currently live in household?</p> <p>Yes.....01</p> <p>No.....02</p> <p>If No&gt;&gt;Q10</p>	<p>Copy ID code of spouse or partner. from Q3.</p> <p>If more than one spouse or partner, copy ID codes of all spouses or partners.</p>	<p>Does [NAME] have a cell phone?</p> <p>If yes, write down number [10 digits starting with 0].</p> <p>If No ... 99</p>			
								First Name	Surname	New Roster ID [from Section A1 Q5 or Section A2 Q12]

**NATIONAL Events Calendar, Use this to help determine the age of young people below age 24**

1990 Robert Ouko’s death	1992 Multiparty elections	1994 Death of Oginga Odinga	1997 El Nino rains
1998 American Embassy bomb blast	2002 General elections Kibaki tosha	2003 Free primary education	2005 Referendum
2007 (Dec)/ 2008 (Jan) post election violence	2008 Election of Obama as US President (Nov), 2010 Constitutional Referendum (August)		

SECTION B2: CHILDREN AGE 0-19 ONLY										
Q11	Q12	Q13	Q14	Q15	Q16	Q17	Q18	Q19	Q20	Q21
ID CODE	Is [NAME]'s biological father alive?  Yes....1 No.....2>>Q14 DK.....9>>Q15	Interviewer: Write [NAME]'s father's ID code (from Q3)  <i>If [NAME]'s father is not a HH member write 98 and GO TO Q15</i>	In what year did [NAME]'s father die?  If DK write 98 (YYYY)  If emotive, don't ask the question and record 90	Is [NAME]'s biological mother alive?  Yes....1  No....2>>Q17  DK..9>>Q18	Interviewer: Write [NAME]'s mother's ID code (from Q3)  <i>If [NAME]'s mother is not a HH member write 98 and GO TO Q18</i>	In what year did [NAME]'s mother die?  If DK write 98 (YYYY)  If emotive, don't ask the question and record 90	Who is the <u>main</u> person who cares for the needs of this child?  <i>Write the ID code of the person who cares for the child.</i>  <i>The caregiver is the person in charge of feeding, bathing, clothing, nurturing</i>	Does [NAME] have a birth notification form (piece of paper specifying place and date of birth)  <i>(Ask to see it if yes)</i>  Yes, seen....1 Yes, not seen....2 No.....3 DK .....98	Does [NAME] have a birth certificate (piece of paper proving registration)  <i>(Ask to see it if yes)</i>  Yes, seen....1 Yes, not seen....2 No.....3 DK .....98	What is [NAME]'s birth date?  <i>Record from birth record, otherwise probe.</i>  MM/YYYY  <i>If don't know or Can't remember, record 98</i>

Section C1: EDUCATION All Members Age 4 and older									
1	2	3	4	5	6	7	8	9	10
ID CODE	Has [NAME] ever attended school?	What is the highest grade that [NAME] has completed?	How old was [NAME] when he/she started school (years)?	Did [NAME] attend school or academic institution last year?	What grade was [NAME] attending last year?	Is [NAME] currently attending school?	Why did [NAME] never attend or stop attending school?	Can [NAME] read in any language?	Can [NAME] write in any language?
Write ID code of all who qualify for the Education Section here	Yes....1  No....2>>Q8	Nursery 00	DK=98	Yes....1  No....2>>Q7	Nursery 00	If school not in session, does she/he plan to attend next session?  Yes...1>>Q11  No....2	Too young 00	Not at all...1  Part of a sentence...2  Can read whole sentence...3	Yes...1  No....2          <b>→ NEXT PERSON</b>
		Std 1 01			Std 1 01		Sick/disabled 01		
		Std 2 02			Std 2 02		Sickness of family member 02		
		Std 3 03			Std 3 03		Working for family / farm 03		
		Std 4 04			Std 4 04		Paid work outside HH 04		
		Std 5 05			Std 5 05		House work 05		
		Std 6 06			Std 6 06		Away from home 06		
		Std 7 07			Std 7 07		Does not wish to attend 07		
		Std 8 08			Std 8 08		Cannot afford fees 08		
		Form 1 09			Form 1 09		Cannot afford uniform / supplies 09		
		Form 2 10			Form 2 10		School too far away 10		
		Form 3 11			Form 3 11		School full 11		
		Form 4 12			Form 4 12		School poor quality 12		
		Form 5 13			Form 5 13		Parents forbade it 13		
		Form 6 14			Form 6 14		Conflict with religious beliefs 14		
		University 15			University 15		Too old / finished school 15		
		Tech/Voc/			Tech/Voc		Married 16		
		Commercial			College/Commercial		Other (specify) 97		
College 16	College 16								
Other 17	Other 17								
None 21	None 21								
DK 98	DK 98								

Section C2: EDUCATION All Members Age 4 and older (only if currently attending school, i.e. if YES in Q7)										
	11	12	13	14	15	16	17	18	19	20
ID CODE	What grade is [NAME] currently attending?	What type of school is this?	Does the school provide lunch (paid or free)?	What is the daily transport cost to send [NAME] from home to school and back again?	Can [NAME] attend this school without a uniform?	Can [NAME] attend this school without shoes?	Was [NAME] in school the last day school was officially open?	Roughly how many days in the last 2 weeks has [NAME] missed school (excluding holidays, weekends and strikes or other official closures)?	Did any person or organisation from outside this household contribute to school costs for [NAME] in this school year, either cash or material support?	What was the value (Ksh.) of this assistance to [NAME] in this school year?
	Nursery 00 Std 1 01 Std 2 02 Std 3 03 Std 4 04 Std 5 05 Std 6 06 Std 7 07 Std 8 08 Form 1 09 Form 2 10 Form 3 11 Form 4 12 Form 5 13 Form 6 14 University 15 Tech/Vocational/Comme rcial College 16 Other 17 DK 98	Government...1  Non-formal....2  NGO.....3  Private.....4  Madrassa.....5  Church.....6  Other (specify)...7  DK.....98	Yes...1  No...2  DK...98	Record two- way cost in Ksh.  Write 0 if no transport cost.  DK=98	Yes...1  No...2	Yes...1  No...2	Yes...1  No...2	Write 0 if no days missed	Yes...1  No...2 >>>NEXT PERSON	DK=98



Section D1: HEALTH FOR ALL PERSONS AGE 3 and ABOVE										
	1	2	3	4	5	6	7	8	9	10
ID CODE	Has [NAME] been sick or injured during the <b>last four weeks?</b>  YES....1 No...2>>Q6 DK...3 >>Q6	What was ... mainly suffering from?  Fever/malaria=01 Diarrhoea=02 Stomach ache or Vomiting=03 Upper respiratory (sinus)=04 Lower respiratory (chest, lungs)=05 Flu=06 Asthma=07 Headache=08 Ear, nose, throat=09 Arthritis=10 Heart problem=15 Blood pressure=16 Diabetes/sugar disease=17 Tuberculosis (tb)= 18 Pregnancy related=19 Hiv/aids related=20 Other (specify) =30 Dk=98	Did [NAME] consult any health provider on this sickness or injury?  Yes...1 No...2 DK...98 >> Q5	How much in total was spent on [NAME] 's medication and consultation <b>in the last four weeks?</b>  [Ksh]  DK=98	How many days of work or school did [NAME] miss in the last four weeks due to this illness or injury?  DK=98	Does [NAME] suffer from a chronic illness? [Eg, has [NAME] been continuously ill for at least 3 months in the last 12 months?]  YES=1 NO=2 DK=98	<b>ASK FOR MEMBERS AGE 15 AND ABOVE ONLY</b>			
							If [NAME] had to walk for 2 kms on a flat path could [NAME] do so easily?  Easily...1 With difficulty...2 Not at all...3	Can [NAME] bend, squat or kneel?  Easily...1 W/ difficulty...2 Not at all...3	How would you rate [NAME]'s health in general?  EXCELLENT=1 VERY GOOD=2 GOOD=3 FAIR=4 POOR=5	Compared with one year ago, would you say [NAME]'s health is:  BETTER=1 ABOUT THE SAME=2 WORSE=3

Section D2: CHILD HEALTH: CHILDREN AGE 0-60 MONTHS ONLY													
	1	2	2a	3									
ID Code <i>Write IDs of all who qualify for the Child Health Section here</i>	Does [NAME] have a health card (vaccination card, growth monitoring card)?  [If yes ask to see card]  Yes, card seen...1  Yes, card not seen...2  No ...3	What is [NAME]'s birth date?  Record from health card if available. Otherwise probe from respondent.  MM/YYYY	Record birth weight from health card if available  [Write 98 if card not available, or birth weight not recorded]  Record to one decimal place	INTERVIEWER: Observe vaccination section of health card. If no card skip to Q4.  Write 1 against each vaccination recorded on the child's health card, and 2 if there is no record of that vaccination. If no health card available skip Q3 and go to Q4  <i>(If card available, fill in Q3 and then GO TO Q11)</i>									
				<i>BCG</i>	<i>DPT / Hep / Flu 1</i>	<i>DPT / Hep / Flu 2</i>	<i>DPT / Hep / Flu 3</i>	<i>OPV 0</i>	<i>OPV 1</i>	<i>OPV 2</i>	<i>OPV 3</i>	<i>Measles</i>	<i>Yellow Fever</i>
				<i>3a</i>	<i>3b</i>	<i>3c</i>	<i>3d</i>	<i>3e</i>	<i>3f</i>	<i>3g</i>	<i>3h</i>	<i>3i</i>	<i>3j</i>

**NATIONAL Events Calendar, Use this to help determine the age of child**

2005 Referendum

2007 (Dec)/ 2008 (Jan) post election violence

2008 Election of Obama as US President (Nov)

2010 Constitutional Referendum (August)

Section D2 (Cont...) CHILD HEALTH: CHILDREN AGE 0-60 MONTHS ONLY										
	Q4	Q5	Q6	Q7	Q8	Q9	Q10	Q11	Q12	Q13
ID CODE	Has [NAME] received any vaccinations including vaccinations received in a national immunization day campaign?  Yes...1 No....2>>Q11 DK...98>>Q11	Has [NAME] received BCG vaccination against tuberculosis, that is, an injection in the left arm that usually causes a scar?  Yes...1 No....2 DK...98	Has [NAME] received Polio vaccine, that is, drops in the mouth?  Yes...1 No....2>>Q8 DK...98 >>Q8	How many times was the polio vaccine received in total?  DK=98	Has [NAME] received DPT vaccination, that is, an injection in the thigh, sometimes at the same time as polio drops?  Yes...1 No....2>>Q10 DK...98>>Q10	How many times was the DPT vaccine received in total?  DK=98	Has [NAME] received an injection in the right upper arm to prevent measles?  Yes...1 No....2 DK...98	In the last 6 months has [NAME] gone to a well-baby clinic or for a preventive health check- up or growth monitoring?  Yes...1  No...2	Has [NAME] had diarrhea in the last month?  Yes...1  No...2 >> NEXT CHILD/END	Did you seek advice or treatment for the diarrhea?  Yes...1  No...2>> NEXT CHILD/END

Section E: FERTILITY: ALL FEMALE MEMBERS AGES 12-49												
	1	2	3	4	5	6	7	8	9	10	11	12
ID CODE  [from roster]	Is [NAME] pregnant now?  YES=1 NO=2 >>Q5  UNSURE=3 >>Q5	How many months pregnant is [NAME]?  [Total number of completed months]  DK=98	Has [NAME] sought anyone for antenatal care for this pregnancy? If so from whom did you seek care?  [RECORD <u>ALL</u> THAT APPLY.]  1. DOCTOR 2. NURSE 3. MIDWIFE 4. CLINICAL OFFICER 5. TRADITIONAL BIRTH ATTENDANT 6. OTHER  NO ONE.....9>>Q5 DK=98 >>Q5	How many months pregnant was [NAME] when she first received antenatal care for this pregnancy?  [MONTHS]  DK=98	Has [NAME] ever had a pregnancy that miscarried, was aborted, or ended in a stillbirth?  YES=1 NO=2  DK=98	Has [NAME] ever given birth to live births?  YES=1 NO=2 >> NEXT PERSON /END	How many children to whom [NAME] has given birth are currently living in this household?  [Number]	How many children to whom [NAME] has given birth are alive but do not live in this household?  [Number]  DK=98	Has [NAME] ever given birth to a boy or girl who was born alive but later died?  IF NO, PROBE: Any baby who cried or showed signs of life but did not survive?  (number of children; if none, write 0)  DK=98	When was [NAME]'s last child born?  [capture whether child alive now or not]  MM/YYYY Y  DK=98	At what age did [NAME] first get pregnant?  Age in years  DK=98	What is the total number of live births that [NAME] has had?  [NUMBER ]  DK=98  THIS NUMBER SHOULD BE THE SUM OF Q7, 8, 9

<b>SECTION F: Food Consumed</b> Have you or members of your household consumed (Eaten)...[FOOD ITEM] ... during the past week?  <b>Ask QUESTION 1. If coded '1' then go to Q2-Q3 for same item, then go to next item.</b>			What was the total value of this food CONSUMED by the household during the last week (7 days) - including food that was purchased, and food produced or grown by your household or received as a payment or a gift?  <b>LAST 1 WEEK</b>	What was the main source of this food? (Choose one) <table border="1"> <tr> <td>Purchased in village from individual</td> <td>1</td> <td>Payment in kind</td> <td>6</td> </tr> <tr> <td>Purchased in village from shop</td> <td>2</td> <td>Gift/aid from relatives</td> <td>7</td> </tr> <tr> <td>Purchased outside village</td> <td>3</td> <td>Gift/aid from neighbours</td> <td>8</td> </tr> <tr> <td>Home produced</td> <td>4</td> <td>Gift/aid from organisation (NGO, religious, govt)</td> <td>9</td> </tr> <tr> <td>Gathered</td> <td>5</td> <td>Not applicable</td> <td>99</td> </tr> </table>		Purchased in village from individual	1	Payment in kind	6	Purchased in village from shop	2	Gift/aid from relatives	7	Purchased outside village	3	Gift/aid from neighbours	8	Home produced	4	Gift/aid from organisation (NGO, religious, govt)	9	Gathered	5	Not applicable	99
Purchased in village from individual	1	Payment in kind	6																						
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Purchased outside village	3	Gift/aid from neighbours	8																						
Home produced	4	Gift/aid from organisation (NGO, religious, govt)	9																						
Gathered	5	Not applicable	99																						
Item [If '1' in Q1 go to Q2-Q3 for same item then go to next item.]	Item code	1=Yes, 2=No		Main Source	CALCULATIONS and NOTES																				
		1	2	3																					
Maize – grain	01																								
Maize – flour	02																								
Rice	03																								
Other grains (wheat, sorghum, millet, other types)	04																								
Bread	05																								
Potatoes (Irish)	06																								
Sweet potatoes, cassava, arrow roots, yams, cooking banana	07																								
Beans	08																								
Other pulses/nuts (peas, grams, groundnuts)	09																								
Eggs	10																								
Fresh fish	11																								
Dried/smoked fish/ sardines/omena	12																								
Beef	13																								
Chicken	14																								
Other meat (goat meat, mutton, pork, etc)	15																								
Sukuma wiki (kales)	16																								
Other vegetables (tomatoes, cabbage, lettuce, onions etc)	17																								
Milk	18																								
Bananas (ripe)	19																								
Other fruits (mangoes, avocados, oranges, pawpaws, etc.)	20																								
Cooking fat	21																								
Other cooking oils	22																								
Sugars (white/brown, sugarcane, etc.) [1 teaspoon=5gm & 1 tablespoon=10gm]	23																								
Spices (salt and others, etc.)	24																								
Tea leaves / tea bags [1 teaspoon=2g]	25																								
Coffee and other non-alcoholic drinks	26																								
Alcoholic beverages (beer, wines, spirits, home-brew)	27																								
Meals eaten out	28																								
Other ready made foods (biscuits/mandazi/cakes/pasta/baby foods)/githeri	29																								

<b>Section G1: NON-FOOD CONSUMED (Last 1 and Last 3 Months)</b>					
What is the total value of all [ITEM] purchased, produced at home and received as gifts during the reference period [last one month or last 3 months]?					
<b>Items LAST ONE MONTH</b>	Item Code	Ksh	<b>Items LAST 3 MONTHS</b>	Item Code	Ksh
Tobacco (cigarettes, tobacco) and miraa	01		Men's clothing	19	
Paraffin/kerosene	02		Women's clothing	20	
Water (for drinking and other household consumption/ use)	03		Children's clothing NOT INCLUDING school uniforms	21	
Charcoal, firewood (including gathered)	04		Material for clothes, and tailoring	22	
Other utilities (electricity, gas)	05		Footwear (including repair costs)	23	
Toilet soap (washing powder, laundry soap, detergents)	06		Recreation (toys, cinema, photography, records etc)	24	
Other toiletries (shampoo, toothpaste, hair cream, etc)	07		Personal articles (umbrella, watch, lighter, belts, etc)	25	
Other cleaning expenses, equipment (brushes, shoe polish, etc)	08		Medical Expenditure (both inside and outside health facilities) – categories listed below:		
Matches, candles	09		- Medicines and medical supplies (e.g. bandages etc) <i>excluding AIDS drugs</i>	26	
Batteries, bulbs	10		- Transport to and from health facilities	27	
Bus fares, matatu, taxis	11		- Consultation & treatment fees including gifts	28	
Other transport expenses (bicycle, car repair, petrol etc) <i>excluding transport to and from school or health facilities</i>	12		- Laboratory & diagnostic test fees	29	
Hair cut and other personal services	13		- Visits to traditional healers	30	
Books, notebooks, newspapers, stationary, etc (not for school)	14		- Hospitalisation fees including food ("bed bill")	31	
Communications (phone calls -- fixed and mobile, airtime, post office expenses, etc.)	15		- Other health expenditure <i>excluding AIDS drugs</i>	32	
TKK ("to cooperate")	16		- <i>AIDS drugs</i>	18	
Rent, including utilities if paid together as a lump sum	17				

<b>SECTION G2: NON-FOOD CONSUMED (Last 12 Months)</b>					
What is the total value of all [ITEM] purchased, produced at home and received as gifts during the last 12 months?			<b>Other spending</b>		
<b>Items LAST 12 MONTH</b>	Item Code	Ksh	(last 12 months)	Item code	Ksh
Textiles (blanket, bedsheet, towels, mosquito netting, etc., not for clothes)	33		How much did the household spend in dowry, bride price wedding, circumcision, or funeral services?	42	
Kitchen equipment (cutlery, pots, plates, jiku, small equipment – kettle and sufuria)	34				
Lanterns, lamps, torches	35				
Education Expenditure (Primary, Secondary and Nursery) (categories listed below)					
- Tuition fees, registration fees, exam fees & other fees <i>either paid or owed</i>	36				
- Private tuition	37				
- Transport to and from school	38				
- Uniforms including school shoes	39				
- School supplies including textbooks	40				
- Food (school lunch, breakfast, snacks)	41				

Section H: Housing and Durable Goods Ownership			
1	How many rooms does your household occupy?	<i>Do not include kitchen, bathroom or rooms used for business. Rooms counted do not necessarily have to be attached to each other.</i>	
2	Does your household own any of the following items that are in working condition? 1 = Yes; 2 = No	A. Bicycle	
		B. Motorcycle	
		C. Radio	
		D. Telephone / mobile	
		E. Refrigerator	
		F. Fan	
		G. Bucket / Basin	
		H. Wooden Stool	
		I. Bed	
		J. Bed Sheets	
		K. Blankets	
		L. Mosquito Net	
		M. Table	
		N. Chair	
O. TV			

## SECTION I: Income Generating Activities

## Part A: Labour

**ASK TO ALL HOUSEHOLD MEMBERS AGES 10 AND OVER**

[illegible]



## Part B: Self Employment

## ASK TO THE HOUSEHOLD HEAD, OR BEST INFORMED HOUSEHOLD MEMBER

Q1	<input type="checkbox"/> YES...01 <input type="checkbox"/> NO.....02 ► PART C
Did the household operate any non-farm enterprises in the past 12 months, like a store, transportation, healer, home brewing, or other independent business?	

ENTERPRISE ID	Q2	Q3		Q4	Q5	Q6			Q7	Q8
	What non-farm enterprises did the household operate over the past 12 months?  IF MORE THAN 3 BUSINESSES, ONLY RECORD THE 3 LARGEST/MOST IMPORTANT  WRITE ACTIVITY CODE ACCORDING TO LIST (UPRIGHT).  IF ACTIVITY IS NOT LISTED, WRITE "88" AND A SHORT DESCRIPTION OF THE ACTIVITY.  CODE	Who in the household takes decisions for the enterprise?  LIST UP TO 2 FROM ROSTER  IF ENTIRE HOUSEHOLD WRITE "88" IN "1ST"		How long has the business been in existence?  IF <1 YEAR: WRITE "1"	Of the last 12 months, how many was the business in operation?	What were the main sources of startup capital for this enterprise?			What was the <u>gross</u> revenue from this business in the <u>last month</u> it operated?	What was the <u>net</u> income from this business in the <u>last month</u> it operated?
						01 LOAN FROM FAMILY FRIEND 02 LOAN FROM SACCOS 03 NON AGRICULTURAL CREDIT, BANK, OTHER INSTITUTION 04 LOAN-MONEY LENDER 05 GIFT FROM FAMILY/ FRIEND 06 OWN SAVINGS 07 SALE OF ASSETS OWNED 08 PROCEEDS FROM OTHER BUSINESS 09 INHERITED 10 OVC-TRANSFER 11 OTHER (SPECIFY) 12 NONE KSHS				
						1ST	2ND	3RD		
		1ST	2ND	YEARS	MONTHS				KSHS	KSHS
1										
2										
3										

### ENTERPRISE CODES

- 01 Shop/store
- 02 Petty trader/ Bar/Kioski
- 03 Home brewery
- 04 Public phone
- 05 Food preparation/sales of prepared food
- 06 Transport service
- 07 Child care/day care
- 08 Money lender
- 09 Miller
- 10 Carpentry
- 11 Metal works / Pottery
- 12 Traditional healer, Midwife
- 13 Construction
- 14 Mechanic/technician
- 15 Selling/renting agricultural inputs/ tools
- 16 Making farm tools
- 17 Making baskets or mats
- 18 Spinning/weaving cloth
- 19 Making/repairing clothes
- 20 Water-carrier, Porter
- 21 Barber, Hairdresser
- 22 Musician
- 23 Shoe makers/ repair (cobblers)
- 24 Selling firewood, charcoal
- 25 Selling grass/fodder
- 26 Selling (resale grains)
- 88 Other \_\_\_\_\_

ENTERPRISE ID	Q9		Q10		Q11	Q12	Q13		Q14	
	To whom did you sell your products or services from this business over the last 12 months? 01 INDIVIDUAL CONSUMER 02 PRIVATE BUSINESS 03 NON-GOVT INSTITUTION 04 GOVERNMENT 05 MANUFACTURER 06 OTHER (SPEC.)		Where do these sales normally take place? 01 THIS VILLAGE 02 NEIGHBORING VILLAGE 03 CLOSEST TOWN 04 NAIROBI 05 ELSEWHERE IN KENYA 06 ANOTHER COUNTRY 07 OTHER (SPEC.)		How many household members are engaged in this business?  INCLUDE INFORMANT IF HE/SHE PARTICIPATED	In the last month this business operated, how many employees were <b>hired in for pay- in cash or in kind-</b> that are not household members?	From whom do you buy your inputs for this business? 01 MERCHANT/BUSINESS 02 ITINERANT TRADER 03 RELATIVE 04 FRIEND/NEIGHBOR 05 LOCAL MARKET 06 COOP/ASSOC. 07 COMPANY 08 NGO/CBO 09 GOK/GOK AGENCY 10 HOME PRODUCED 11 OTHER (SPEC.)		Where was the outlet/source located? 01 THIS VILLAGE 02 NEIGHBORING VILLAGE 03 CLOSEST TOWN 04 NAIROBI 05 ELSEWHERE IN KENYA 06 ANOTHER COUNTRY 07 OTHER (SPEC.)	
	1ST	2ND	1ST	2ND	NUMBER	NUMBER	1ST	2ND	1ST	2ND
1										
2										
3										

# Part C: Land Characteristics

# ASK TO THE HOUSEHOLD HEAD, OR BEST INFORMED HOUSEHOLD MEMBER

<b>Q1</b> Did you household own or cultivate any land in the past 12 months? This includes all plots, including garden plots, owned, shared-out, shared-in, rented-in, rented-out, by the household in the previous 12 months. IF NO: ►PART E	<input type="checkbox"/> YES...01 <input type="checkbox"/> NO....02	<b>Q2</b> How many plots do you have or cultivate all together? CONSIDER ALL TYPES OF TENURE. IF ZERO: ►PART E	<input type="checkbox"/> NUMBE R OF PLOTS
---	--	--	---

PLOT ID	Q3	Q4	Q5	Q6	Q7	Q8	Q9	Q10			Q11	Q12	Q13	Q14						
	Please identify all plots owned or cultivated by the household in the past 12 months.  Include garden plots, plots owned, shared-out, shared-in, rented-in, and rented-out.  Past 12 months here represent the past two cropping season – it doesn't include the current cropping season	What is the area of this plot?  FOR SMALL PLOTS LESS THAN 0.25 ACRES WHERE EXACT SIZE IS UNKNOWN, WRITE "888".	Is the plot irrigated?	How did you acquire this plot?  01 PURCHASED 02 INHERITED ►Q7 03 BORROWED 04 RENTED-IN 05 SHARE-CROPPED-IN 06 COMMUNAL 07 USUFRUCT 08 OTHER (SPECIFY) ►Q8	Do you lend, share-crop or rent out this plot?  01 SHARECROP OUT 02 RENT OUT 03 LEND OUT 04 NONE	For how long have you owned, held or been using this land?  IF <1 YEAR, WRITE "1"  IF DK: "99"	What was the principal use of this land in the past 12 months?  01 CROP 02 FORESTY/TREES ►Q10 03 GRAZING/PASTURE 04 NOT IN USE 05 OTHER (SPECIFY) ►Q11	What are the major crops you planted in the past 12 months on this plot?  LIST UP TO 3 CROPS PER PLOT.  SEE CROP CODES (BELOW)			How would you classify the soil fertility?  01 VERY FERTILE 02 MODERATE 03 POOR 04 VERY POOR 05 NOT PRODUCTIVE	What is the slope of the plot?  01 FLAT 02 SLIGHT 03 MODERATE 04 STEEP	Is there any erosion on this plot?  01 NONE 02 MILD 03 SEVERE 99 DON'T KNOW	Who is responsible for the management & decisions of this plot?  WRITE "88" IF NOT A HH MEMBER						
								ACRES	01 YES 02 NO	YEARS					LAND USE	1ST	2ND	3RD	SOIL FERTILITY	PLOT SLOPE
1																				
2																				
3																				
4																				
5																				
6																				
7																				
8																				
9																				
<b>CROP CODES</b> 01 local maize 02 Improved maize 03 millet 04 beans 05 cowpeas		06 sorghum 07 wheat 08 rice	09 barley 10 oats 11 yams 12 arrowroots 13 cassava	14 sweet potato 15 potato 16 khat 17 grams 18 yellow grams 19 pigeonpea	20 dolicos 21 soya bean 22 cashewnuts 23 macadamia nut 24 groundnuts 25 sim sim	26 castor 27 nappier 28 paddock 29 sugarcane 30 pyrethrum 31 cotton	32 tobacco 33 tea 34 coffee 35 sisal 36 wood/timber	37 vegetables 38 fruits 88 Other (specify)												

**Part D1: Crop Production**
**ASK TO THE HOUSEHOLD HEAD, OR BEST INFORMED HOUSEHOLD MEMBER**

Q1	Did your household do farming (i.e. plant any grains, legumes, vegetables or fruit etc.) during the last 12 months?						YES...01►Q2					
							NO...02►PART E					
	Q2		Q3	Q4		Q5	Q6	Q7	Q8		Q9	
LINE ITEM	Did your household cultivate [...] in the past 12 months?  Include crops cultivated when sharecropping out, and kitchen garden crops.  Past 12 months here represent the past two cropping season – it doesn't include the current cropping season  SEE CROP CODES (BELOW)		What area of land was planted with [...] in the <b>past 12 months</b> ?	What quantity of [...] did the household harvest in the <b>past 12 months</b> ?		Did you sell or barter any of the harvest of [crop] in the <b>past 12 months</b> ?	How much of the last harvest did you sell/barter?  <u>UNIT CODES</u> 01 KILOGRAM 02 DEBE 03 GOROGORO	How much was earned from the sales of [CROP] in the <b>past 12 months</b>  if only bartered, then mark 8888 if 0, then next item	To whom did you mainly sell or exchanged [...] in the past 12 months?  01 FINAL CONSUMER 02 ITINERANT TRADER 03 BUSINESS 04 INSITUATIONS 05 GOVERNMENT 06 MANUFACTURER 07 OTHER (SPEC.)	Where was the main location where you sold or exchanged [...] in the past 12 months?  01 THIS VILLAGE/ FARMGATE 02 NEIGHBOR VILLAGE 03 CLOSEST TOWN 04 NAIROBI 05 ELSEWHERE IN KENYA 06 OTHER COUNTRY 07 OTHER (SPEC.)		
				IF ZERO ►NEXT CROP								
				<u>UNIT CODES</u> 01 KILOGRAM 02 DEBE 03 GOROGORO								
				01 YES SELL 02 YES BARTER 03 YEST BARTER & SELL 04 NO (next item)								
		CROP NAME	CODE 01 YES 02 NO	ACRES	QUANTITY	UNIT		KSHS	HSKS	1 <sup>ST</sup>	2 <sup>ND</sup>	1 <sup>ST</sup>
1	Local maize	01										
2	Improved maize	02										
3	Millet	03										
4	Beans	04										
5	Cow peas	05										
6	Sorghum	06										
7	Vegetables											
8	Fruit											
Other, specify												
9												
10												
11												
<b>CROP CODES</b> 01 local maize 02 Improved maize 03 millet 04 beans 05 cowpeas		06 sorghum 07 wheat 08 rice	09 barley 10 oats 11 yams 12 arrowroots 13 cassava	14 sweet potato 15 potato 16 khat 17 grams 18 yellow grams 19 pigeonpea	20 dolicos 21 soya bean 22 cashewnuts 23 macadamia nut 24 groundnuts 25 sim sim	26 castor 27 nappier 28 paddock 29 sugarcane 30 pyrethrum 31 cotton	32 tobacco 33 tea 34 coffee 35 sisal 36 wood/timber	37 vegetables 38 fruits 88 Other (specify)				

**Part D2: Crop Inputs**
**ASK TO THE HOUSEHOLD HEAD, OR BEST INFORMED HOUSEHOLD MEMBER**

Q1		Q2	Q3		Q4	
In the past 12 months, did you use any [...] for any of your crop production activities?  01 YES ► Q2  02 NO ► NEXT ROW		How much did you spend including transport cost on [...] in the past 12 months?  If zero, then next item.	What was the main source for this input?		Where is that source located?	
			01 FINAL CONSUMER 02 ITINERANT TRADER 03 BUSINESS 04 INSITUIONS 05 GOVERNMENT 06 MANUFACTURER 07 OTHER (SPEC.)		01 THIS VILLAGE 02 NEIGHBOR VILLAGE 03 CLOSEST TOWN 04 NAIROBI 05 ELSEWHERE IN KENYA 06 OTHER COUNTRY 07 OTHER (SPEC.)	
CROP INPUT	Q1 ANSWER	KSHS	1 <sup>ST</sup>	2 <sup>ND</sup>	1ST	2ND
a) Seeds/seedlings						
b) Pesticides						
c) Organic fertilizer						
d) Inorganic fertilizer						
e) Waters						
Other? Specify:						
f)						
g)						

**Part E1: Livestock Production**
**ASK TO THE HOUSEHOLD HEAD, OR BEST INFORMED HOUSEHOLD MEMBER**

<div style="display: flex; justify-content: space-between; align-items: flex-start;"> <div style="border: 1px solid black; padding: 5px; width: 15%;"> <b>Q1</b>              Did your household own or manage or share any livestock or animals, large or small, in the previous 4 years (since 2007)?           </div> <div style="border: 1px solid black; padding: 5px; width: 15%; text-align: center;">             YES...              01              NO.....02 ► PART              F           </div> </div>													
LIVESTOCK CODE		Q2	Q3	Q4	Q5	Q6	Q7	Q8	Q9	Q10		Q11	
	LIVESTOCK TYPE	In the past four years, since 2007, has your household owned, managed, shared, purchased or sold any [...] at any point?  01 YES  02 NO ► NEXT ROW	How many were owned exactly 4 years ago, in 2007?  IF NONE WRITE ZERO	How many does the household own <u>now</u> ?  IF NONE WRITE ZERO	Now, how many has the household held any [...] in a <b>sharing arrangement</b> with other households?  IF NONE WRITE ZERO	During the <u>past 12 months</u> , how many have you <b>exchanged</b> for other livestock?  IF NONE WRITE ZERO	In the <u>past 12 months</u> , how many [...] did you <b>purchase</b> for this household?  IF NONE WRITE 0 AND ► Q10	During the <u>past 12 months</u> , how many have you <b>sold</b> ?  IF NONE WRITE 0 AND ► Q10	In the past 12 months how much did you earn in total from those [...] sales?  KSHS	What was the main sales outlet?  01 FINAL CONSUMER 02 ITINERANT TRADER 03 BUSINESS 03 INSITUATIONS 04 GOVERNMENT 05 MANUFACTURER 06 OTHER (SPEC.)		Where is that outlet located?  01 THIS VILLAGE 02 NEIGHBOR VILLAGE 03 CLOSEST TOWN 04 NAIROBI 05 ELSEWHERE IN KENYA 06 OTHER COUNTRY 07 OTHER (SPEC.)	
		NUMBER	NUMBER	NUMBER	NUMBER	NUMBER	NUMBER	NUMBER		1 <sup>ST</sup>	2 <sup>ND</sup>	1 <sup>ST</sup>	2 <sup>ND</sup>
1	Cattle- local												
2	Cattle- hybrid												
3	Donkeys												
4	Camels												
5	Goats												
6	Sheep												
7	Pigs												
8	Poultry												
Other, Specify:													
9													
10													
11													

## Part E2: Livestock Inputs

Q1		Q2	Q3		Q4	
In the past 12 months, did you purchase any [...] for any of your livestock? 01 YES ▶ Q2  02 NO ▶ NEXT ROW		How much did you spend on [...] in the past 12 months?	What was the main source for this input ?		Where is that source located?	
			01 FINAL CONSUMER 02 ITINERANT TRADER 03 BUSINESS 04 INSITUIONS 05 GOVERNMENT 06 MANUFACTURER 07 OTHER (SPEC.)	01 THIS VILLAGE 02 NEIGHBOR VILLAGE 03 CLOSEST TOWN 04 NAIROBI 05 ELSEWHERE IN KENYA 06 OTHER COUNTRY 07 OTHER (SPEC.)		
LIVESTOCK INPUT	Q1 ANSWER	KSHS	1ST	2ND	1ST	2ND
a) Fodder						
b) Manufactured feeds, salt						
c) Water for livestock						
d) Vet services, medicines, vaccines						
e) Insurance for livestock						
Other? Specify:						
f)						
g)						

## Part E3: Livestock By-products

Q1		Q2	Q3		Q4	
In the past 12 months, did you sell any livestock by-product obtained from any of your livestock?  IF ITEM PRODUCED AND SOLD, WRITE "01" AND GO TO NEXT QUESTION  IF ITEM PRODUCED BUT NOT SOLD WRITE "0" AND ▶ NEXT ROW  IF ITEM WAS NOT PRODUCED NOR SOLD WRITE "99" AND ▶ NEXT ROW		How much in KSHS did you earn in the past 12 months from the sale of by-products obtained from all your livestock?	What was the main sales outlet?		Where is that outlet located?	
			01 FINAL CONSUMER 02 ITINERANT TRADER 03 BUSINESS 04 INSITUIONS 05 GOVERNMENT 06 MANUFACTURER 07 OTHER (SPEC.)	01 THIS VILLAGE 02 NEIGHBOR VILLAGE 03 CLOSEST TOWN 04 NAIROBI 05 ELSEWHERE IN KENYA 06 OTHER COUNTRY 07 OTHER (SPEC.)		
BY-PRODUCT	Q1 ANSWER	KSHS	1ST	2ND	1ST	2ND
a) Milk and Milk Products						
b) Eggs						
c) Hides and leather						
d) Dried meat						
e) Dung						
f) Wool, Mohair						
Other, Specify						
g)						
h)						

**Part F: Agricultural Inputs and Assets**
**ASK TO THE HOUSEHOLD HEAD, OR BEST INFORMED HOUSEHOLD MEMBER**

Q1	ENUMERATOR: IS THERE AT LEAST ONE YES IN PARTS C, D AND E (LAND, CROP, LIVESTOCK)?		YES...01 NO.....02 ▶ PART G							
INPUT CODE	AGRICULTURAL INPUT / ASSET	Q2 In the past 12 months did you own, rent for pay, borrow, or use in partnership [...]?	Q3 How many [...] do you own <u>now</u> ?	Q4 How much did you spend on purchasing or renting [...] in the past 12 months?	Q5 From whom was it purchased/borrowed/rented in the past 12 months?		Q6 Where was it purchased/borrowed/rented in the past 12 months?		Q7 Did you own any [...] four years ago, in <b>2007</b> ?	Q8 How many [...] did you own four years ago, in <b>2007</b> ?
		01 OWN ▶ Q3 02 RENT IN ▶ Q4 03 BORROW /PARTNERSHIP 04 NO ▶ NEXT ROW	NUMBER	Here report <b>total expense</b> on purchasing and/or renting the item	01 MERCHANT/BUSINESS 02 RELATIVE 03 FRIEND/NEIGHBOR 04 LOCAL MARKET 05 COOP/ASSOC. 06 COMPANY 07 NGO/CBO 08 GOK/GOK AGENCY 09 HOME PRODUCED 10 OTHER (SPEC.)		01 THIS VILLAGE 02 NEIGHBORING VILLAGE 03 CLOSEST TOWN 04 NAIROBI 05 ELSEWHERE IN KENYA 06 ANOTHER COUNTRY 07 OTHER (SPEC.)		01 YES	NUMBER
					1ST	2ND	1ST	2ND	02 NO ▶ NEXT ROW	
1	Plough									
2	Animal cart, Wheelbarrow									
3	Planter									
4	Sprayer									
5	Thresher									
6	Grinder									
7	Watering can									
8	Hoe (Jembe)									
9	Machete (Panga)									
10	Axe									
11	Sickle									
12	Pen									
13	Trough									
Other, Specify:										
14										
15										
16										

Q9	In the past 12 months did your household receive any information or advice related to crop or livestock production?	YES...01	
		NO.....02	

## Part G: Hired Labour

Q1		Did your household hire in any labor, paid for with cash or in kind, for crop and/or livestock activities in the past 12 months?						YES...01 NO.....02 ► PART H
ACTIVITY	NOTE: CHILDREN ARE CONSIDERED UNDER 15 YEARS OF AGE.	Q2						Q3
		In the past 12 months how many <b>person-days</b> of men/women/children did you hire in for [ACTIVITY] ?						In the past 12 months how much did you spend on labor hired in for [ACTIVITY] in total?
		ONLY REPORT THE TOTAL NUMBER OF PEOPLE HIRED AND NUMBER OF DAYS PER PERSON						
		IF NONE ►NEXT ROW						
		(a)		(b)		(c)		KSHS
MEN		WOMEN		CHILDREN				
NUMBER OF PEOPLE	NUMBER OF DAYS PER PERSON	NUMBER OF PEOPLE	NUMBER OF DAYS PER PERSON	NUMBER OF PEOPLE	NUMBER OF DAYS PER PERSON			
1	Land preparation or planting							
2	Weeding, fertilizing, other non-harvest work							
3	Harvesting							
4	Livestock herding							
5	Preparing fodder							

## Part H: Access to Credit

Q1	Did you, or any member of your household, seek access to credit?	YES...01 ► Q3 NO.....02 ► Q2	
Q2	Why did you not seek access to credit? ► NEXT SECTION	01 PREFER TO WORK WITH OWN RESOURCES 02 DID NOT NEED CREDIT 03 HAVE OTHER LOANS TO PAY OFF 04 DOES NOT HAVE MEANS TO REPAY A LOAN 05 OTHER (SPECIFY)	

Q3	What was the reason for seeking access to credit?	01 INVEST IN AGRICULTURAL ACTIVITIES OR BUSINESSES 02 INVEST IN NON-AGRICULTURAL ACTIVITIES OR BUSINESSES 03 PAY FOR EXPENSES RELATED TO EMERGENCY, ILLNESS 04 PAY OFF OTHER LOANS 05 MAKE DWELLING IMPROVEMENTS 06 FOR CONSUMPTION (FOOD OR OTHER REGULAR EXPENSES) 07 OTHER (SPECIFY)	
Q4	Did your household receive any loan in the past 12 months when it requested it?	YES...01 ► NEXT SECTION NO.....02 ► Q5	
Q5	Why did your household not receive the loan it requested? ► NEXT SECTION	01 LACK OF COLLATERAL 02 DID NOT FULFILL LOAN REQUIREMENTS 03 OTHER (SPECIFY)	



Section J: Income, Transfers, Program Participation		Respondent's Roster ID:.....
1. During the past <u>2 months</u> , what is the total value of money or goods, including food, clothing, livestock or medicines <b>received from any church or other non-government group (NGO)</b> ? (Ksh, enter 0 if none)		
2. During the past <u>2 months</u> , what is the total value of money or goods, including food, clothing, livestock or medicines <b>received from individuals</b> who are not part of this household, including from outside Kenya? (Ksh, enter 0 if none)		
3. During the past <u>2 months</u> , what is the total value of money or goods, including food, clothing, livestock or medicines <b>received from government programs</b> ? (Ksh, enter 0 if none)		
4. In the past <b>12 months</b> how much did your household receive in cash or kind for dowry, bride price, wedding, circumcision and funeral? (kshs.)		
5. During the past <u>2 months</u> , what is the total value of money or goods, including food, clothing, livestock or medicines that members of this household have <b>given to people or groups outside the household</b> (transfers out)? (Ksh, enter 0 if none)		
6. Some people try to save some money for emergencies or to buy something special in the future. Are you currently saving any cash? Yes.....1 No...2>> <b>Q8</b>		
7. In the past 2 months how much money have you put aside or savings? (Ksh)		
8. Have you ever heard of the Government's cash transfer for OVC program? Yes.....1 No.....2>> <b>NEXT SECTION</b>		
9. Has this household EVER received money or is the household currently receiving money from the Government's cash transfer for OVC program? Received in past but not currently receiving.....1 Currently receiving.....2 Never received.....3>> <b>NEXT SECTION</b>		
<b>Interviewer:</b> Ask to see CT-OVC Program ID Card and any cash transfer payment receipts. [If card AND receipt not available, write 99999 for Q10 and 99 for Q11 and go to Q12]		
10. Write the OVC Unique ID Number from Card or Household Code from receipt (5 digits)		11. Write down ID Code (from roster) of main caregiver specified on Program Card [if caregiver not a household member write 50]
<b>Interviewer:</b> Write down date and amount received from most recent payment receipt. If receipt not available ask respondent to recall.		
12. Last Payment Date (MONTH): Month codes: Jan-Feb=1 March-April=2, May-June=3, July-Aug=4, Sep-Oct=5, Nov-Dec=6 DK=98		
13. Last Payment Date (YEAR): Write down four digit year YYYY DK=9999		
14. Last Payment Amount: (Ksh) DK=9999		
<i>Ask only for households currently receiving CT-OVC cash payments (code 2 in Q9). Ask to main caregiver identified in Q11 if possible.</i>		
15. For the LAST payment, how much did the household spend on transportation to travel from your house to the Post Office and back again? [Two-way total from house to Post Office and back in Ksh] DK=98		
16. In general, how long does it take to travel from your home to the Post Office and back again (using your customary method of transportation)? CODES: Days (0-7), Hours (0-24), Minutes (0-60)		a. Days: _____ b. Hours: _____ c. Minutes: _____
17. How much money did the household spend on other expenses associated with collecting your payment other than transportation (such as food, accommodation, TTK)? (Ksh) DK=98		

Section K Preferences, Expectations and Tastes: Adult Care-givers/main respondent only			
ID Code of Respondent _____			
1. Suppose that you suddenly win money in the Lotto. If you could chose between these payment options which do you choose? [Indicate 1 or 2 for each option.]		9. What is the chance someone else in your household will fall seriously ill and not be able to conduct daily activities?	
A. 1. Ksh1500 today or 2. Ksh1500 in one month?		10. In the next year what is the chance somebody you know will die?	
B. 1. Ksh1500 today or 2. Ksh9000 in one month?			
C. 1. Ksh1500 today or 2. Ksh4500 in one month?		Now I would like you to consider the possibility that you may not be alive as time goes by. We hope that nothing bad will happen to you but nevertheless, sometimes unfortunate things occur over the years as we get older despite all precautions we take. If you do not want to, you do not need to answer this question. [Code 98 if refused; Code 3 for 'Only God Knows']	
D. 1. Ksh1500 today or 2. Ksh7000 in one month?			
E. 1. Ksh1500 today or 2. Ksh3000 in one month?			
F. 1. Ksh1500 today or 2. Ksh1250 in one month?			
2. Now I want to ask how you would respond in a hypothetical game. In this game you can choose to get Ksh 1500 or you can choose a lottery that will give you a 50% chance of winning an even greater amount or a 50% chance of getting less than Ksh1500. Which of these lotteries would you prefer over getting Ksh 1500 for certain? [Show flash cards with options.]		11. How likely do you think it is that you will be alive for at least twenty more years?	
[1=Yes would take lottery, 2=No, prefer Ksh1500 for certain]		12...that you will be alive for at least ten more years?	
A. 3000 (50%) or 0 (50%)			
B. 12000 (50%) or 0 (50%)			
C. 7000 (50%) or 1000 (50%)	CODES: Yes = 1 (chooses lottery)	13. ...that you will be alive for at least five more years?	
D. 8000 (50%) or 0 (50%)	No = 2 (takes Ksh1500 for sure)	14. ...that you will be alive for at least one more year?	
E. 2000 (50%) or 1000 (50%)			
3. Do you think your life will be better, the same or worse in one year from now? [1=Better, 2=Same, 3=Worse]		I now want to ask you how strongly you agree or disagree with a number of statements. The green side of the bar (#5) means you strongly agree while the red side (#1) means you strongly disagree. CODES: 1, 2, 3, 4, 5	
4. Do you think your life will be better, the same or worse in three years from now? [1=Better, 2=Same, 3=Worse]		15. I enjoy life.	
5. Do you think your life will be better, the same or worse in five years from now? [1=Better, 2=Same, 3=Worse]		16. I experience positive feelings in my life.	
[For Q6-Q14 use picture of bars. Code 1 up to 5]		17. I feel positive about my future.	
6. In the next year what is the chance you will have a food shortage?		18. I am satisfied with my health.	
7. In the next year what is the chance you will seek financial assistance from someone?		19. I am satisfied with my life.	
8. In the next year what is the chance you will fall ill and not be able to conduct your daily activities?			

**Interviewer script for Q6-Q14.** [Show card to person and explain that towards the right (tallest bar) means the event is more likely to occur and towards the left means (shortest bar) the event is less likely to occur. The bar in the middle means the event is just as likely to occur as not to occur (50-50 chance).] I will ask you several questions about the chance that certain events will happen in the future. I would like you to choose the bar to express what you think the chance is that the event will occur. If you point to the right it means you are sure the event WILL happen. If you point to the left it means you are sure it WILL NOT happen. For example, no one knows if it will rain tomorrow. But if you believe there is a very strong chance it will rain you may point to the tallest (5) or second tallest (4) bar.

**Section M: ANTHROPOMETRICS: CHILDREN AGE 0-10 YEARS ONLY**

	1	2	3	4	5
ID Code	Result of height or length measurement.  Measured.....1 Refused.....2 Child not present.....3 Other.....4	How was height measured?  Lying down....1  Standing.....2	Measure NAME and record height or length in cms to one decimal.  If child is <2 years, measure child lying down  If child is >=2 years measure standing height	Result of weight measurement.  Measured.....1 Refused.....2 Child not present.....3 Other.....4	Record weight of child in kgs to one decimal place  If child cannot stand use mother- child feature of scale