

# Ethiopia - Ethiopian Socio-economic Survey - Wave 3, 2015-2016

**Central Statistical Agency of Ethiopia**

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## Overview

### Identification

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#### ID NUMBER

ETH\_2015-2016\_ESS-W3\_v01\_EN\_M\_v01\_A\_OCS

### Overview

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#### ABSTRACT

The Ethiopia Socioeconomic Survey (ESS) is a collaborative project between the Central Statistics Agency (CSA) of Ethiopia and the World Bank Living Standards Measurement Study-Integrated Surveys on Agriculture (LSMS-ISA) team. The objective of the LSMS-ISA is to collect multi-topic, household-level panel data with a special focus on improving agriculture statistics and generating a clearer understanding of the link between agriculture and other sectors of the economy. The project also aims to build capacity, share knowledge across countries, and improve survey methodologies and technology.

ESS is a long-term project to collect panel data. The project responds to the data needs of the country, given the dependence of a high percentage of households in agriculture activities in the country. The ESS collects information on household agricultural activities along with other information on the households like human capital, other economic activities, access to services and resources. The ability to follow the same households over time makes the ESS a new and powerful tool for studying and understanding the role of agriculture in household welfare over time as it allows analyses of how households add to their human and physical capital, how education affects earnings, and the role of government policies and programs on poverty, inter alia. The ESS is the first panel survey to be carried out by the CSA that links a multi-topic household questionnaire with detailed data on agriculture.

#### KIND OF DATA

Sample survey data [ssd]

#### UNITS OF ANALYSIS

Households

### Scope

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#### NOTES

The scope of the ESS includes:

- Household: Household characteristics; household roster; education; health, time use and labour; savings, fiid last 7 days; food aggregate; non-food expenditure; food security; shocks, housing; assets; non-farm expenditure; other income; assistance; credit.
- Community: Informant roster; basic information; access to basic services; economic activities; agriculture (only for rural eas); changes; community needs and actions; productive safety nets programme; market prices.
- Post Harvest: Household roster; crop roster; crop harvest by field; unit and size codes; harvest labour; non-permanent crop roster; crop disposition; permanent crop roster; permanent crops; network roster.
- Post planting : Parcel Roster; field roster; crop roster; seeds roster; miscellaneous questions for the holder; network roster; rope and compass measurement; crop cut by field.
- Livestock: ownership; change in stock; breeding; house, water and feed; animal health; milk production; egg production; animal power and dung; household roster; education codes.

### Coverage

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## GEOGRAPHIC COVERAGE

National Coverage.

## GEOGRAPHIC UNIT

Region

## UNIVERSE

ESS uses a nationally representative sample of over 5,000 households living in rural and urban areas. The urban areas include both small and large towns.

## Producers and Sponsors

## PRIMARY INVESTIGATOR(S)

Name	Affiliation
Central Statistical Agency of Ethiopia	CSA

## OTHER PRODUCER(S)

Name	Affiliation	Role
The World Bank		
National Bank of Ethiopia		

## FUNDING

Name	Abbreviation	Role
World Bank		Funding

## Metadata Production

## METADATA PRODUCED BY

Name	Abbreviation	Affiliation	Role
Office of Chief Statistician	OCS	Food and Agriculture Organization	Metadata adapted for FAM
Development Economics Data Group	DECDG	The World Bank	Documentation of the DDI

## DDI DOCUMENT VERSION

ETH\_2015-2016\_ESS-W3\_v01\_EN\_M\_v01\_A\_OCS\_v01

## DDI DOCUMENT ID

DDI\_ETH\_2015-2016\_ESS-W3\_v01\_EN\_M\_v01\_A\_OCS\_FAO

# Sampling

## Sampling Procedure

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The sample is a two-stage probability sample. The first stage of sampling entailed selecting primary sampling units, or CSA enumeration areas (EAs). A total of 433 EAs were selected based on probability proportional to size of the total EAs in each region. For the rural sample, 290 EAs were selected from the AgSS EAs. A total of 43 and 100 EAs were selected for small town and urban areas, respectively. In order to ensure sufficient sample size in the most populous regions (Amhara, Oromiya, SNNP, and Tigray) and Addis Ababa, quotas were set for the number of EAs in each region. The sample is not representative for each of the small regions including Afar, Benshangul Gumuz, Dire Dawa, Gambella, Harari, and Somalie regions. However, estimates can be produced for a combination of all smaller regions as one “other region” category. A more detailed description of the sample design is provided in Section 3 of the Basic Information Document provided under the Related Materials tab.

## Response Rate

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During wave 3, 1255 households were re-interviewed yielding a response rate of 85 percent. Attrition in urban areas is 15% due to consent refusal and inability to trace the whereabouts of sample households.

## Weighting

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The ESS-W3 data needs to be weighted to represent the national-level population of rural, small and large town households. A sample weight with post-stratification adjustments was calculated for the households and this weight variable is included in all the datasets.<sup>20</sup> It reflects the adjusted probability of selecting the household into the sample. The inverse of this weight can be considered an expansion factor that sums to the total population of households in the nation. When this weight is used in a household-level file, it sums to the population of households. When this weight is used in an individual-level file, it sums to the population of individuals. If the data user wishes to produce an estimate for the population of individuals in a household-level file, an approximate expansion factor is the sample weight times the household size of each household.

The ESS3 sample weights were calculated in two stages. In the first stage, weights were separately calculated or adjusted for the three different sampling frames (rural, small town, and large town<sup>21</sup>). For the rural and small town sample, the wave 1 weights were adjusted to account for relisting, non-response, and attrition of households in the sample frame between the two waves (wave 1 and wave 3). In each of the waves, the rural and small town EAs were re-listed which reflects EA-specific population growth patterns. The post-stratification adjustment accounts for this change.

Similarly for the mid- and large-town sample, the wave 1 weights were adjusted to account for relisting, non-response, and attrition of households in the sample frame between the two waves (wave 2 and wave 3). In each of the waves, the mid- and large-town EAs were re-listed which reflects EA-specific population growth patterns. The post-stratification adjustment accounts for this change.

## Questionnaires

No content available

## Data Collection

### Data Collection Dates

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<b>Start</b>	<b>End</b>	<b>Cycle</b>
2015-09-01	2015-09-30	Post-planting agriculture and Livestock questionnaires
2015-09-30	2015-12-31	Crop cut questionnaire
2016-02-01	2016-04-30	Household, Community, and Post-harvest agriculture questionnaire

### Data Collection Mode

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Mixed data collection mode

## Data Processing

### Data Editing

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The interviews were carried out using pen-and-paper (PAPI) as well as computer-assisted personal interviewing (CAPI) method. A concurrent data entry arrangement was implemented for PAPI. In this arrangement, the enumerators did not wait until all the interviews were completed. Rather, once the enumerators completed approximately 3-4 questionnaires, supervisors collected these interviews from enumerators and brought them to the branch offices for data entry. This process took place as enumerators continued administering interviews with other households. Then questionnaires were keyed at the branch offices as soon as they were completed using the CPro data entry application software. The data from the completed questionnaires were then checked for any interview or data entry errors using a STATA program. Data entry errors were flagged for the data entry clerks and the interview errors were then sent to back to the field for correction and feedback to the ongoing interviews. Several rounds of this process were undertaken until the final data files were produced. Additional cleaning was carried out, as needed, by checking the hard copies. In ESS3, CAPI (with a Survey Solutions platform) was used to collect the community data in large town areas.

## Data Appraisal

No content available