

National Income Dynamics Study

Wave 2: 2010/2011

Phase 1 & 2

Proxy Questionnaire Cover

A proxy adult questionnaire should be administered to a knowledgeable household member when it is not possible to interview the relevant individual (aged 15+) in person.

NOTE: The proxy informant must be at least 18 years old.

Details about unavailable adult

A1 <i>name</i>	First name	
A2 <i>surname</i>	Surname	
A3	Any other names by which this person is known?	

Location

A4	Street Address	
A5	Suburb	
A6	Town or City	
A7	Post Code	
A8	Household Telephone	(____) _____ - _____
A9	Work Telephone number	(____) _____ - _____
A10	Household Cellphone	
A11	Email contact for dwelling	
A12	Description	

Interviewer Details

A13	Interviewer Name				
A14 <i>intrv</i>	Date of interview (dd/mm/yyyy)	___/___/_____	A15 <i>intrvsrt</i>	Interview start time	___:___

Appointments Log

	Date (dd/mm/yyyy)	Time	Outcome (drop down list)	Any new phone number/email addresses discovered
A16.1	___/___/_____	A16.2 ___:___	A16.3	A16.4
A17.1	___/___/_____	A17.2 ___:___	A17.3	A17.4
A18.1	___/___/_____	A18.2 ___:___	A18.3	A18.4

Refusals (if applicable)

A22 <i>refexpl</i>	What is the <u>main</u> reason for refusal?	Too busy	1
		Not interested/waste of time	2
		Questionnaire too personal/too intrusive	3
		Don't trust surveys	4
		Never do surveys	5
		Too old	6
		Other (Specify) <i>refexpl_o</i>	
A23	Degree of interaction with person refusing	None – Refused calling card	1
		Very little – they cut me short, said no thanks	2
		Some – Got to say I was doing an important survey	3
		A fair bit – got to show them the brochure, and spend a bit of time trying to talk them around	4
A24 <i>resgen</i>	Gender of person refusing (Drop down list)	Male	Female
A25 <i>resage</i>	Age of person refusing		

Proxy respondent details

A26 <i>respid</i>	Name		A27	Surname	
A28 <i>resrel</i>	Relationship of respondent to adult in question				
A29 <i>resprox</i>	What is the reason for conducting a proxy interview? The unavailable adult is [...]		In an institution (e.g. hospital, prison)		01
			Studying away from home		02
			On holiday		03
			Away on business / for work		04
			Unable to be contacted		05
			Permanently too unwell or disabled		06
			Temporarily unwell		07
			Too old		08
			Deaf or has speech problems		09
			Has language problems		10
			Refused but allowed a proxy		11
			Temporarily away from home for other reasons (specify) <i>resprox_o</i>		12
Is a tracked individual who has moved out of scope		13			



Proxy Adult Questionnaire Wave 2:2011

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Section B: Demographics

INTERVIEWER READ OUT: We would like to ask you some questions about [...]’s background.						Validation Rule	Skips
B1 <i>dob</i>	What is [...]’s date of birth? If Refuse -8; Don’t Know -9	SD				Validate using w1_dob_d, w1_dob_m and w1_dob_y	
		dd	mm	year			
B2 <i>gen</i>	What is [...]’s gender	Male		1		Validate using w1_gen	
		Female		2			
		Refuse		-8			
		Don’t Know		-9			
B3 <i>popgrp</i>	What population group does [...] belong to?	African		1		Validate using w1_race	
		Coloured		2			
		Asian/Indian		3			
		White		4			
		Other (specify)		5			
		Refused		-8			
		Don’t Know		-9			
B4 <i>marstt</i>	What is [...]’s current marital status?	Married		1			
		Living with partner		2			
		Widow/Widower		3			
		Divorced or separated		4			
		Never married		5			
		Refused		-8			
		Don’t Know		-9			
B5 <i>lng</i>	Which language does [...] usually speak at home? Interviewer: Select from drop-down list	Language				Validate using w1_lang	
		If other, specify <i>lng_o</i>					
		Refused		-8			
		Don’t Know		-9			
B6 <i>slpw</i>	Over the past 7 nights, how many nights did [...] sleep under this roof? If Refuse -8; Don’t Know -9					valid ranges: <=7	
B7 <i>slpm</i>	Over the past month, how many nights did [...] sleep under this roof? If Refuse -8; Don’t Know -9					valid ranges: <=31	
B8 <i>lvevoth</i>	Has [...] ever lived in another suburb / town / village?	Yes		1			
		No		2			C1
		Refused		-8			C1
		Don’t Know		-9			C1

CB9a	Drop-down box with province selection						
INTERVIEWER READ OUT: Now we would like to know about the different places that [...] has lived. In each instance please give the suburb, town, province and country if not South Africa.					Validation Rule	Skips	
		Suburb	Town/City	Province Select from drop down list	Country (If not South Africa)		
CB9b	Computer Check: is w1_birthplace valid?	Yes			1		CB10a
		No			2		
B9	Where was [...] born? Interviewer: If don't know write "-9". If refused write "-8"	<i>Brnsub</i> SD	<i>Brntwn</i> SD	<i>brnprov</i>	<i>brncc</i>		
CB10a	Computer Check: is w1_live1994 valid?	Yes			1		CB11a
		No			2		
CB10b	Computer Check: We want a drop-down box for "here"						
B10	Where was [...] living in 1994? Interviewer: If don't know write "-9". If refused write "-8"	<i>lv94sub</i> SD	<i>lv94tw</i> SD	<i>lv94prov</i>	<i>lv94cc</i>		
CB11a	Computer Check: is w1_PID valid?	Yes			1		B12.1
		No			2		
CB11b	Drop-down box for "here"						
B11	Where was [...] living in February 2008? Interviewer: If don't know write "-9". If refused write "-8"	<i>lv08sub</i> SD	<i>lv08tw</i> SD	<i>lv08prov</i>	<i>lv08cc</i>		
B12.1 <i>mvprov</i>	In what year did [...] most recently move to this province? If never moved province, write 7777 If don't know write "-9". If refused write "-8"						
B12.2 <i>mvtn</i>	In what year did [...] most recently move to this city or town or village? If never moved city or town, write 7777 If don't know write "-9". If refused write "-8"						
B12.3 <i>mvsub</i>	In what year did [...] most recently move to this suburb? If never moved, write 7777 If don't know write "-9". If refused write "-8"						
CB13a	Computer Check: is w1_PID valid?	Yes			1		B13
		No			2		
CB13b	Computer Check: is B12.3>2008?	Yes			1		B13
		No			2		
CB13c	Computer Check: is B12.2>2008?	Yes			1		C1
		No			2		
B13	Where did [...] live before moving to the place where he/she is living now? If don't know write "-9". If refused write "-8"	<i>Lvbfsub</i> SD	<i>Lvbfwn</i> SD	<i>lvbfprov</i>	<i>lvbfcc</i>		

Section C: Labour market participation

INTERVIEWER READ OUT: In this section we find out about [...]’s employment history.							
If Refuse -8; Don’t Know -9; Not Applicable -5					Validation Rule	Skips	
C1 <i>emactcur_u</i> 	Which one of the following best describes what [...] is currently doing? Interviewer: Read out options	Working for pay	1				
		Self-employed	2				
		Working on own plot or looking after livestock	3				
		Helping another family member with their business, without pay	4			D1	
		Full-time scholar or student at school, university, college or another educational institution	5			D1	
		Homemaker (looking after children / others / home)	6			D1	
		Long term sick or disabled	7			D1	
		Retired	8			D1	
		Unemployed and actively searching for a job	9			D1	
		Unemployed but not actively searching for a job	10			D1	
		Refused	-8			D1	
		Don't Know	-9			D1	
INTERVIEWER READ OUT: Now we would like to ask you whether [...] is working or unemployed or not wanting to work right now.							
C2 <i>em1wrk</i> 	What kind of work does [...] usually do in their job/business? Give occupation or job title. Interviewer: Record at least two words: car sales person, office cleaner, vegetable farmer, primary school teacher, etc.						
C3 <i>em1task</i> 	What are [...]’s <u>main</u> tasks or duties in this work? For example some people sell fruit or repair machines or keep accounts or deliver things or look after cattle.						
C4 <i>em1wrkplace</i> 	What is the name of [...]’s place of work, for example, it might be Pick ‘n’ Pay or a government department or a bank or your own home? Interviewer: For government or large organisations, give the name of the establishment and branch or division: e.g. Education Dept – Rapele Primary School; ABC Gold Mining - Maintenance Div. Write “Own house” or “No fixed location”, if relevant. For domestic workers write “private household”.						
C5 <i>em1goods</i> 	What are the main goods and services produced at [...]’s place of work or what are its main functions, for example, making electrical appliances or repairing cars or selling houses or primary education? Interviewer: For domestic workers write “private household”.						
					Validation Rule	Skips	
C6	Please identify [...]’s last month’s take home pay.		Would you say last month’s take home pay was:				
		C6.1 <i>em1inc_brac1</i>	More than or less than R1800?	More than		C6.3	
				About equal to		D1	
				Less than		C6.2	
				Refused		D1	
				Don't know		D1	
		C6.2 <i>em1inc_brac2</i>	More than or less than R1000?	More than		D1	
				About equal to		D1	
				Less than		C6.4	
				Refused		D1	
				Don't know		D1	
		C6.3 <i>em1inc_brac3</i>	More than or less than R4000?	More than		C6.5	
				About equal to		D1	
				Less than		D1	
				Refused		D1	
				Don't know		D1	

					Validation Rule	Skips
		C6.4 <i>em1inc_</i> <i>brac4</i>	More than or less than R700?	More than		D1
				About equal to		D1
				Less than		D1
				Refused		D1
				Don't know		D1
		C6.5 <i>em1inc_</i> <i>brac5</i>	More than or less than R8000?	More than		D1
				About equal to		D1
				Less than		D1
				Refused		D1
				Don't know		D1

Section D: Individual income from non-employment sources

INTERVIEWER READ OUT: In this section we are going to talk about any money or any form of assistance that [...] may receive which does not involve employment of some kind.

As I read a list of the different ways in which people can receive money or assistance, please indicate whether [...] did, in fact, receive such assistance or not in the last month.

Did [...] receive income or assistance from [...] in the last month?							Validation Rule	
		1. Did [...] receive income or assistance from [..] in the last month ?				Computer Check: If Answer != 1 skip to next Question		2. How much did [...] receive last month in Rands? Interviewer: If don't know write "-9". If refused write "-8"
		Yes	No	Refused	Don't Know			
D1 <i>incgovpen</i>	State (South African government) old age pension	1	2	-8	-9	If Answer != 1 skip to D2	Confirm if B8 from HH roster<60	<i>incgovpen_v</i>
D2 <i>incppen</i>	Private pension or foreign pension	1	2	-8	-9	If Answer != 1 skip to D3		<i>incppen_v</i>
D3 <i>incret</i>	Private retirement annuity	1	2	-8	-9	If Answer != 1 skip to D4		<i>incret_v</i>
D4 <i>incretp</i>	Retirement gratuity or retirement package	1	2	-8	-9	If Answer != 1 skip to D5		<i>incretp_v</i>
D5 <i>incuif</i>	Unemployment insurance (UIF)	1	2	-8	-9	If Answer != 1 skip to D6		<i>incuif_v</i>
D6 <i>incwc</i>	Workmen's compensation	1	2	-8	-9	If Answer != 1 skip to D7		<i>incwc_v</i>
D7 <i>incdis</i>	Disability grant	1	2	-8	-9	If Answer != 1 skip to D8		<i>incdis_v</i>
D8 <i>incwar</i>	War veterans pension	1	2	-8	-9	If Answer != 1 skip to D9		<i>incwar_v</i>
D9 <i>incchld</i>	Child support grant	1	2	-8	-9	If Answer != 1 skip to D10		<i>incchld_v</i>
D10 <i>incfos</i>	Foster care grant	1	2	-8	-9	If Answer != 1 skip to D11		<i>incfos_v</i>
D11 <i>inccare</i>	Care dependency grant	1	2	-8	-9	If Answer != 1 skip to D12		<i>inccare_v</i>
D12 <i>incint</i>	Interest earnings including dividends, interest from savings, loans	1	2	-8	-9	If Answer != 1 skip to D13		<i>incint_v</i>
D13 <i>incinh</i>	Inheritances	1	2	-8	-9	If Answer != 1 skip to D14		<i>incinh_v</i>
D14 <i>incrnt</i>	Rental income	1	2	-8	-9	If Answer != 1 skip to D15		<i>incrnt_v</i>
D15 <i>incretr</i>	Retrenchment package	1	2	-8	-9	If Answer != 1 skip to D16		<i>incretr_v</i>
D16 <i>inclob</i>	Lobola or bride wealth payments	1	2	-8	-9	If Answer != 1 skip to D17		<i>inclob_v</i>
D17 <i>incgif</i>	Gifts	1	2	-8	-9	If Answer != 1 skip to D18		<i>incgif_v</i>
D18 <i>incloan</i>	Repayments of loans to [...]	1	2	-8	-9	If Answer != 1 skip to D19		<i>incloan_v</i>
D19 <i>incsale</i>	Sale of household goods (e.g. Car, television, refrigerator)	1	2	-8	-9	If Answer != 1 skip to D19		<i>incsale_v</i>
D20 <i>inco</i>	Other, including child maintenance, remittances and alimony (specify)	1	2	-8	-9	If Answer != 1 skip to E1		<i>inco_v</i>

Section D2: Grants received on your behalf

				Validation Rule	Skips
D2.1	Computer CHECK! Is this respondent between 15 and 17 years of age? (i.e. Is 15 <= A14 – B1 <= 17?)	Yes	1		E1
		No	2		
INTERVIEWER READ OUT: Now we would like to ask some questions about any grants collected on your behalf.					
D2.1 <i>grcur</i>	Does anyone currently receive a child support grant, foster care grant or care dependency grant on [...] behalf?	Yes	1		E1
		No	2		
		Don't Know	-9		
		Refused	-8		
D2.2 <i>grcurtyp</i>	What type of grant is this?	Child support grant	1		
		Foster care grant	2		
		Care dependency grant	3		
		Don't Know	-9		
		Refused	-8		
D2.3	Who is the person that is receiving the grant for [...]? Interviewer: If person not in household, Pcode = 77 See code sheet for Relationship Codes If Don't Know write “-9” If Refused write “-8”	Pcode <i>grcurecpid</i>		Confirm if relationship code =04/ 05 / 06 / 07 / 13 / 15 / 16 / 17/19/22	
		Relationship to child <i>grcurecrel</i>			

Section E: Education

INTERVIEWER READ OUT: We would like to ask about [...]’s education.

Interviewer: If Refuse -8; Don't Know -9; Not Applicable -5				Validation Rule	Skips
E1 <i>edschgrd</i>	What is the highest grade in school that [...] has successfully completed? (Do not count the final year [...] was in school if he/she did not successfully complete the year.) Interviewer: Select from drop down list	Highest Grade		valid range: codes 00-15 + 25 and check diff betw highsgrade & w2 <= 2	F1 if E1=25
		Other(specify) <i>edschgrd_o</i>			
		Refused	-8		CE3
		Don't Know	-9		CE3
CE2	Computer check: Is w1_highsgrade = E1 & w1_yeargradecomp valid ?	Yes	1		CE3
		No	2		
E2.a <i>edschyr</i>	In what year did [...] successfully complete this grade?	Year			
		Refuse	-8		
		Don't know	-9		
E2.b <i>edschage</i>	How old was [...] when he/she successfully completed this grade?	Age			
		Refuse	-8		
		Don't know	-9		
CE3	Computer Check is w1_tertiyesno=1, i.e. Do we know they already have a degree/diploma etc?	Yes	1		CE5
		No	2		
E3 <i>edter</i>	Has [...] successfully completed any diplomas, certificates or degrees outside of school?	Yes	1		
		No	2		E5
		Refuse	-8		E5
		Don't know	-9		E5
E4 <i>edterlev</i>	What is the highest level of education [...] successfully completed? Do not include any courses that [...] did not successfully complete. Interviewer: Select from drop down list. Codes 00 to 15 and 25 are not applicable.	Highest level of education		valid range: codes 16 - 24	
		If other, specify here <i>edterlev_o</i>			
CE5	Computer CHECK! Is [...] aged between 15 and 30? (Use B8 from HH roster)	Yes	1		
		No	2		F1
E5 <i>ed10cur</i>	Is [...] currently enrolled in any school or classes or correspondence courses of any kind? <u>Phase 2 question wording: Was [...] enrolled in any school or classes or correspondence courses of any kind in 2010?</u> Include university, technical colleges or any courses as well as school.	Yes	1		
		No	2		F1
		Refuse	-8		F1
		Don't know	-9		F1
E6 <i>ed10schname</i> SD	What is the name of the school or educational institution that [...] is currently attending? <u>Phase 2 question wording: What is the name of the school or educational institution that [...] was attending in 2010?</u>				
E7 <i>ed10schloc</i> SD	What is the location of this educational institution? Interviewer: Please get street address, neighbourhood (such as Rondebosch, Macazar, Langa, Hanover Park, or Athlone) or other identifying information if in Cape Town or name of the nearest town or city (such as Worcester, Durban, Umtata, or Cofimvaba). Interviewer: If Refuse -8; Don't Know -9; Not Applicable -5				

E8 <i>ed10curlev</i>	What education level is [...] currently enrolled in?	Current education level			
	Phase 2 question wording: <i>What education level was [...] enrolled in during 2010?</i> Interviewer: Select from drop down list	If other, specify here <i>ed10curlev_o</i>			

				Validation Rule	Skips
CE9	Computer Check! Is E8 < 16?	Yes	1		F1
		No	2		
E9 <i>ed10cursub</i>	What subject or programme is [...] studying? Phase 2 question wording: <i>What subject or programme was [...] studying in 2010?</i>	Arts / humanities	1		
		Science	2		
		Social science	3		
		Law	4		
		Theology	5		
		Commerce / management	6		
		Education	7		
		Medical services (incl. dentistry)	8		
		Engineering	9		
		Administration / clerical	10		
		Protection	11		
		Building sciences	12		
		Technical	13		
		Computing	14		
		Veterinary	15		
		Tourism	16		
		Beauty / hair / cosmetology	17		
		Other (specify) <i>ed10cursub_o</i>	18		
E10 <i>ed10curmat</i>	Does this institution require [...] to have a matric? If yes, does it require [...] to have matric exemption?	Refuse	-8		
		Don't know	-9		
		Yes, with matric exemption	1		
		Yes, but no exemption required	2		
		No	3		
		Refused	-8		
		Don't Know	-9		

Section F: Health

INTERVIEWER READ OUT: We would like to ask you some questions about [...]’s health.					Skips		
F1 <i>hldes</i>	How would you describe [...]’s health at present? Would you say it is excellent, very good, good, fair, or poor?	Excellent	1				
		Very good	2				
		Good	3				
		Fair	4				
		Poor	5				
		Refuse	-8				
		Don't know	-9				
F2	Has a doctor/nurse/health care professional ever told [...] that he/she has any of the following		Yes	No	Refuse	Don't Know	
		1 - TB (Tuberculosis) <i>hltd</i>	1	2	-8	-9	
		2 - High blood pressure <i>hlbp</i>	1	2	-8	-9	
		3 - Diabetes <i>hldia</i>	1	2	-8	-9	
		4 - Stroke <i>hlstrk</i>	1	2	-8	-9	
		5 - Asthma <i>hlstrk</i>	1	2	-8	-9	
		6 - Heart problems <i>hlhrt</i>	1	2	-8	-9	
		7 - Cancer <i>hlcan</i>	1	2	-8	-9	
F3 <i>hlser</i>	Does [...] have any other major illnesses or disability not mentioned above?	Yes				1	
		No				2	F5
		Refuse				-8	F5
		Don't know				-9	F5
F4 <i>hlser#</i>	If yes, what are they? Interviewer: Do not read out Multiple mentions allowed	Physically handicapped				1	
		Problems with sight, hearing or speech				2	
		Psychological or psychiatric disorder				3	
		HIV/AIDS				4	
		Epilepsy/ fits				5	
		Emphysema				6	
		Alzheimer's disease				7	
		Other <i>hlser_o</i> (Specify)				8	
		Refuse				-8	
		Don't Know				-9	
F5 <i>hlvisaid</i>	Does [...] use spectacles or glasses, including for reading?	Yes				1	
		No				2	
		Refuse				-8	
		Don't know				-9	
F6 <i>hlhraid</i>	Does [...] use a hearing aid?	Yes				1	
		No				2	
		Refuse				-8	
		Don't know				-9	

Section G: Interviewer evaluation

To be completed by interviewer only

G1 <i>intlng#</i>	Languages used during interview	IsiNdebele	01
	Interviewer: Multiple mention allowed	IsiXhosa	02
		IsiZulu	03
		Sepedi	04
		Sesotho	05
		Setswana	06
		Siswati	07
		Tshivenda	08
		Isitsonga	09
		Afrikaans	10
		English	11
		Other (specify)	12
G2 <i>intresp</i>	In general, how did the respondent act towards you during the interview?	Hostile	1
		Neither hostile nor friendly	2
		Friendly	3
G3 <i>intresp act</i>	How attentive was the respondent to the questions during the interview?	Not at all attentive	1
		Somewhat attentive	2
		Very attentive	3
G4 <i>intresp hear</i>	Were other persons within hearing range at any time during the interview?	No other person within hearing range at any time	1
		1+ persons within hearing range for part of the interview	2
		1+ persons within hearing range for all of the interview	3
G5 <i>intresp que</i>	Did more than one person help to complete this questionnaire?	Yes	1
		No	2
G6	If so, which household members helped to complete the questionnaire? Fill in the Names of those who assisted	Member1 <i>intresp1</i>	
		Member2 <i>intresp2</i>	
		Member3 <i>intresp3</i>	
G7 <i>intcom</i>	Any additional comments about specific questions or data quality?.....		
G8 <i>intrvin fo</i>	Did you feel that the information provided by the respondent about the unavailable adult was [...]	Very good	1
		Good	2
		Neither good, nor bad	3
		Bad	4
		Very bad	5
G9 <i>intrven d</i>	Interview end time	<div style="text-align: center;"> <div style="display: inline-block; width: 20px; height: 20px; border: 1px solid black; margin-right: 5px;"></div> <div style="display: inline-block; width: 20px; height: 20px; border: 1px solid black; margin-right: 5px;"></div> <div style="display: inline-block; width: 20px; height: 20px; border: 1px solid black; margin-right: 5px;"></div> <div style="display: inline-block; width: 20px; height: 20px; border: 1px solid black; margin-right: 5px;"></div> </div>	