

# Smallholder Household Survey in Uganda

## Questionnaire for Individual Household Members

This questionnaire will be administered to **ALL** individual household members who contribute to the household income that is, the head of household and spouse and other household members aged 15 and over who contribute to the household income. These household members will be identified from the household questionnaire.

THIS QUESTIONNAIRE IS ADMINISTERED TO **ALL** INDIVIDUAL HOUSEHOLD MEMBERS WHO CONTRIBUTE TO THE HOUSEHOLD INCOME THAT IS, THE HEAD OF HOUSEHOLD AND SPOUSE AND OTHER HOUSEHOLD MEMBERS AGED 15 AND OVER WHO CONTRIBUTE TO THE HOUSEHOLD INCOME. THESE HOUSEHOLD MEMBERS WERE IDENTIFIED IN THE HOUSEHOLD QUESTIONNAIRE.

INDIVIDUAL QUESTIONNAIRE	
<b>I1.</b> CGAP cluster number:      ____ ____ ____	<b>I2.</b> Household number:      ____ ____
<b>I3.</b> Household member's name:  Name _____	<b>I4.</b> Household member's line number:  ____ ____
<b>I5.</b> Interviewer's name and number:  Name _____	<b>I6.</b> Supervisor's name and number:  Name _____
<b>I7.</b> Day / Month / Year of interview:  ____ ____ / ____ ____ / 2015	<b>I8. REGION:</b> REGION.....1 REGION.....2 REGION.....3 REGION.....4
<b>I9.</b> District Name _____	
<p>REPEAT GREETING IF NOT ALREADY READ TO THIS HOUSEHOLD MEMBER:</p> <p>WE ARE FROM <i>insert country-specific affiliation</i>. WE ARE CONDUCTING A SURVEY ABOUT SMALLHOLDER HOUSEHOLDS. I WOULD LIKE TO TALK TO YOU ABOUT YOUR HOUSEHOLD ACTIVITIES AND FINANCIAL BEHAVIORS. THE INTERVIEW WILL TAKE ABOUT <i>insert number</i> MINUTES. ALL THE INFORMATION WE OBTAIN WILL REMAIN STRICTLY CONFIDENTIAL AND ANONYMOUS. MAY I START NOW?</p> <p><input type="checkbox"/> YES, PERMISSION IS GIVEN ⇒ GO TO A1 TO BEGIN THE INTERVIEW.</p> <p><input type="checkbox"/> NO, PERMISSION IS NOT GIVEN ⇒ CIRCLE 03 IN I10. DISCUSS THIS RESULT WITH YOUR SUPERVISOR.</p>	
<p><b>I10.</b> Result of household member 's interview:</p> <p>Completed..... 01</p> <p>Not at home at time ..... 02</p> <p>Refused ..... 03</p> <p>Partly completed..... 04</p> <p>Incapacitated ..... 05</p> <p>Other (specify) _____ 96</p>	

# MODULE ON AGRICULTURAL PRACTICES

A0. MATCH TO D11. Do you participate in the household's agricultural activities?

SINGLE ANSWER.		
No	2	SKIP TO H1
Yes	1	

I would like to ask you some questions about your agricultural practices.

A1. What is the form of ownership of your land?

READ OUT. SINGLE ANSWER.	
Individual ownership with lease or certificate	1
Individual ownership under customary law	2
Communal (resources are shared)	3
State ownership	4
Other (specify) _____	5
Don't know	98

A2. How many hectares of agricultural land do you own?

SINGLE ANSWER. \_\_\_\_\_ (98 for Don't know. Record zero if do not own any agricultural land)

CATEGORIES: 0.0-0.25; 0.26-0.50; 0.51-0.75; 0.76-1.0; etc.

OR it should accept entries with decimals

A3. How many hectares of agricultural land do you rent, borrow or have the right to use?

SINGLE ANSWER. \_\_\_\_\_ (98 for Don't know. Record zero if do not rent or borrow any agricultural land)

CATEGORIES: 0.0-0.25; 0.26-0.50; 0.51-0.75; 0.76-1.0; etc.

OR it should accept entries with decimals

A4. Do you consider your farm to be a business?

SINGLE ANSWER.	
Yes	1
No	2
Don't know	98

A5. Which of the following crops do you grow?

A6. ASK ONLY FOR CROPS MENTIONED IN A5, IF ANSWERED 'NONE' IN A5, SKIP TO A7. Which of the following crops that you grow is the most important to you and your family?

READ OUT.	A5. ALLOW FOR MULTIPLE ANSWERS.	A6. SINGLE ANSWER.
	<i>Grow</i>	<i>Most important</i>
Maize	1	1
Beans	2	2
Sweet potatoes	3	3
Sorghum	4	4
Rice	5	5
Groundnuts	6	6

Cowpea	7	7
Millet	8	8
Cassava	9	9
Irish potatoes	10	10
Pigeon pea	11	11
Bananas	12	12
Cotton	13	13
Sesame	14	14
Sugar cane	15	15
Tobacco	16	16
Tea	17	17
Cocoa	18	18
Coffee	19	19
Field peas	20	20
Simsim	21	21
Soya beans	22	22
Other 1 (specify) _____	23	23
Other 2 (specify) _____	24	24
Other 3 (specify) _____	25	25
None	26 -> SKIP TO A7	26

A7. Which of the following crops do you grow that you consume at home? LIST ONLY CROPS SELECTED IN A5.

A8. ASK ONLY FOR CROPS MENTIONED IN A7, IF ANSWERED 'NONE' IN A7, SKIP TO A9. Which of these crops that you grow do you consume the most?

READ OUT.	A7. ALLOW FOR MULTIPLE ANSWERS.	A8. SINGLE ANSWER.
	<i>Grow for consumption</i>	<i>Most consume</i>
Maize	1	1
Beans	2	2
Sweet potatoes	3	3
Sorghum	4	4
Rice	5	5
Groundnuts	6	6
Cowpea	7	7
Millet	8	8
Cassava	9	9
Irish potatoes	10	10
Pigeon pea	11	11
Bananas	12	12
Cotton	13	13
Sesame	14	14
Sugar cane	15	15
Tobacco	16	16
Tea	17	17
Cocoa	18	18
Coffee	19	19
Field peas	20	20
Simsim	21	21

Soya beans	22	22
Other 1 (specify) _____	23	23
Other 2 (specify) _____	24	24
Other 3 (specify) _____	25	25
None	26 -> SKIP TO A9	26

A9. Do you buy any of the following crops?

READ OUT. ALLOW FOR MULTIPLE ANSWERS.				
Maize	1		Sesame	14
Beans	2		Sugar cane	15
Sweet potatoes	3		Tobacco	16
Sorghum	4		Tea	17
Rice	5		Cocoa	18
Groundnuts	6		Coffee	19
Cowpea	7		Field peas	20
Millet	8		Simsim	21
Cassava	9		Soya beans	22
Irish potatoes	10		Other 1 (specify) _____	23
Pigeon pea	11		Other 2 (specify) _____	24
Bananas	12		Other 3 (specify) _____	25
Cotton	13		None	26

A10. Do you have any livestock, herds, other farm animals, or poultry?

SINGLE ANSWER.		
Yes	1	
No	2	SKIP TO A15

A11. How many of each of the following do you rear?

READ OUT. ALLOW FOR MULTIPLE ANSWERS. RECORD '0' IF DO NOT REAR ANY	Count
Cattle – beef	
Cattle – dairy	
Indigenous cattle	
Sheep	
Ducks	
Turkeys	
Pigs	
Goats – meat	
Goats – dairy	
Chickens – broilers	
Chickens – layers	
Fish (number of ponds)	
Bees (number of hives or boxes)	
Other (specify) _____	

A12. Which of the following do you rear AND get an income from? USE LIVESTOCK SELECTED IN A11 > 0

A13. ASK ONLY FOR LIVESTOCK MENTIONED IN A12, IF ANSWERED 'NONE' IN A12, SKIP TO A14. Which of these generates the most income for you?

A14. Which of the following livestock or byproducts do you rear to consume at home? USE LIVESTOCK SELECTED IN A11 > 0

READ OUT.	A12. ALLOW FOR MULTIPLE ANSWERS.	A13. SINGLE ANSWER.	A14. ALLOW FOR MULTIPLE ANSWERS.
	<i>Get income from</i>	<i>Most income</i>	<i>Consumption</i>
Cattle – beef	1	1	1
Cattle – dairy	2	2	2
Indigenous cattle	3	3	3
Sheep	4	4	4
Ducks	5	5	5
Turkeys	6	6	6
Pigs	7	7	7
Goats – meat	8	8	8
Goats – dairy	9	9	9
Chickens – broilers	10	10	10
Chickens – layers	11	11	11
Fish (number of ponds)	12	12	12
Bees (number of hives or boxes)	13	13	13
Other (specify) _____	14	14	14
None	15 -> SKIP TO A14	15	15

A15. Who do you normally purchase your main agricultural and livestock inputs (such as seeds, fertilizer, or pesticide) from?

READ OUT. ALLOW FOR MULTIPLE ANSWERS.	
Co-operative	1
Wholesaler	2
Processor	3
Retailer	4
Government agency	6
Middleman / Trading company	7
Other (specify) _____	10
I do not purchase any agricultural or livestock inputs	11
Don't know	98

A17. How do you usually pay your suppliers?

READ OUT. ALLOW FOR MULTIPLE ANSWERS.	
Cash	1
Cheque	2
Pay cash into bank	3
Electronic funds transfer	4
Mobile banking	5
Payment in-kind (crops, labor, etc.)	6
Prepaid debit card	7
Other (specify) _____	8
I do not buy supplies	9 -> SKIP TO A22

A18. Do your suppliers give you the option to pay them later (with credit) or do you have to pay them immediately?

SINGLE ANSWER.	
Pay later	1
Pay immediately	2

A22. Which of the following statements best describe your water situation?

READ OUT. SINGLE ANSWER.	
I always have enough water available, but if I had more water I would be able to grow my agricultural activities faster	1
I always have water available, and it is enough for the needs of my agricultural activities	2
I have intermittent water supply, but this does not affect my agricultural activities	3
I have intermittent water supply, which does affect my agricultural activities	4

A23. For managing the land and livestock, what types of labor do you use?

READ OUT. ALLOW FOR MULTIPLE ANSWERS.		
Friends or neighbors labor, on a reciprocity basis	1	
Hired labor for extended period	2	
Family labor	3	
Daily rate for agricultural labor	4	
Other (specify) _____	5	
None	6	SKIP TO A25

A24. What do you use the labor for?

READ OUT. MULTIPLE ANSWERS	
Land plowing and preparation	1
Planting	2
Weeding	3
Harvesting	4
Selling crops	5
Livestock care	6
Livestock sale	7
Other (specify) _____	8

A25. Which of the following crops that you grow do you sell? LIST ONLY CROPS SELECTED IN A5

A26. ASK ONLY FOR CROPS MENTIONED IN A25, IF ANSWERED 'NONE' IN A25, SKIP TO A36. Which of these crops that you grow do you get the most money from selling?

READ OUT.	A25. ALLOW FOR MULTIPLE ANSWERS.	A26. SINGLE ANSWER.
	<i>Grow for selling</i>	<i>Most money from</i>
Maize	1	1
Beans	2	2
Sweet potatoes	3	3
Sorghum	4	4
Rice	5	5
Groundnuts	6	6
Cowpea	7	7
Millet	8	8
Cassava	9	9
Irish potatoes	10	10
Pigeon pea	11	11
Bananas	12	12
Cotton	13	13
Sesame	14	14
Sugar cane	15	15
Tobacco	16	16
Tea	17	17
Cocoa	18	18
Coffee	19	19
Field peas	20	20
Simsim	21	21
Soya beans	22	22
Other 1 (specify) _____	23	23
Other 2 (specify) _____	24	24
Other 3 (specify) _____	25	25
None	26 -> SKIP TO A36	26
Don't know	-	98



A27. Who do you sell your crops and livestock to?

READ OUT. ALLOW FOR MULTIPLE ANSWERS.	
Co-operative	1
Wholesaler	2
Processor	3
Retailer	4
Direct to the public	5
Direct to a government agency	6
Middleman / Trading company	7
Other (specify) _____	10
Don't know	98

A28. Where do you normally sell your crops and livestock?

READ OUT. MULTIPLE ANSWERS.		
At farm to neighbor or traveling merchant	1	
In village	2	
Local market	3	
Regional market	4	
Other (specify) _____	5	
Don't know	98	SKIP TO A30

A29. Why do you sell your crops and livestock at this location?

READ OUT. MULTIPLE ANSWERS.	
I get the best price at this market	1
I do not have access to transport to other markets	2
Poor road conditions to other markets	3
I am not aware of prices at other markets	4
Other (specify) _____	5
Don't know	98

A30. When you sell your crops and livestock, do you get the current market price?

SINGLE ANSWER.		
No	2	
Yes	1	SKIP TO A32
Don't know	98	SKIP TO A32

A31. Why do you not get the current market price?

DO NOT READ OUT. CODE INTO CATEGORIES BELOW. SINGLE ANSWER.	
Too few customers	1
My customers take advantage of me	2
I have to pay high commission rates to middlemen	3
Corruption	4
No access to transport to other markets	5
Poor crop quality	6
I do not know why	7
Other (specify) _____	8
Don't know	98

A32. Do you have a contract to sell any of your crops or livestock?

SINGLE ANSWER.	
Yes	1
No	2
Don't know	98

A33. How do you usually get paid for what you sell?

READ OUT. ALLOW FOR MULTIPLE ANSWERS.	
Cash	1
Cheque	2
Electronic funds transfer	4
Mobile banking	5
Payment in-kind (crops, labor, etc.)	6
Prepaid debit card	7
Other (specify) _____	8

A35. What challenges do you face in terms of getting your crops and livestock to your customers?

READ OUT. ALLOW FOR MULTIPLE ANSWERS.	
Distance to the market	1
Transportation	2
Goods or products get damaged in transit	5
Lack of storage facilities	6
Lack of refrigeration facilities	7
Unreliable middlemen	8
I don't face any challenges	9
Other (specify) _____	10

A36. Which of the following crops that you grow do you trade? LIST ONLY CROPS SELECTED IN A5

A37. ASK ONLY FOR CROPS MENTIONED IN A36, IF ANSWERED 'NONE' IN A36, SKIP TO H1. Which of these crops that you grow do you trade the most?

READ OUT.	A36. ALLOW FOR MULTIPLE ANSWERS.	A37. SINGLE ANSWER.
	<i>Grow for trading</i>	<i>Most trade</i>
Maize	1	1
Beans	2	2
Sweet potatoes	3	3
Sorghum	4	4
Rice	5	5
Groundnuts	6	6
Cowpea	7	7
Millet	8	8
Cassava	9	9
Irish potatoes	10	10
Pigeon pea	11	11
Bananas	12	12
Cotton	13	13
Sesame	14	14
Sugar cane	15	15
Tobacco	16	16
Tea	17	17
Cocoa	18	18
Coffee	19	19
Field peas	20	20
Simsim	21	21
Soya beans	22	22
Other 1 (specify) _____	23	23
Other 2 (specify) _____	24	24
Other 3 (specify) _____	25	25
None	26 -> SKIP TO H1	26
Don't know	-	98

# **MODULE ON HOUSEHOLD ECONOMICS**

Now I would like to ask you some questions about your income.

H1. Do you generate income from the following sources?

READ OUT. SINGLE ANSWER.	
Earning wages or salary from regular job	1
Earning wages from occasional job	2
Running own business in retail or manufacturing (selling or making goods)	3
Running own business by providing services	4
Getting a grant, pension, or subsidy of some sort	5
Getting money from family or friends	6
Growing something and selling it, such as crops, fruits, or vegetables	7
Rearing livestock, poultry, fish, or bees and selling it or its byproducts	8
Other (specify) _____	9

H2. Which of these has been your main source of income in the last <INSERT TIME>?

READ OUT CATEGORIES FROM H1. SINGLE ANSWER.	H2A. Month	H2B. 12 months
Earning wages or salary from regular job	1	1
Earning wages from occasional job	2	2
Running own business in retail or manufacturing (selling or making goods)	3	3
Running own business by providing services	4	4
Getting a grant, pension, or subsidy of some sort	5	5
Getting money from family or friends	6	6
Growing something and selling it, such as crops, fruits, or vegetables	7	7
Rearing livestock, poultry, fish, or bees and selling it or its byproducts	8	8
Other (specify) _____	9	9
Don't know	98	98

H3. Which of the following income sources is most important to you?

H4. Which of the following income sources do you like getting the most?

H5. Which of the following income sources is most reliable for you?

READ OUT CATEGORIES FROM H1. SINGLE ANSWER.	H9. Most important	H10. Like getting the most	H11. Most reliable
Earning wages or salary from regular job	1	1	1
Earning wages from occasional job	2	2	2
Running own business in retail or manufacturing (selling or making goods)	3	3	3
Running own business by providing services	4	4	4
Getting a grant, pension, or subsidy of some sort	5	5	5
Getting money from family or friends	6	6	6
Growing something and selling it, such as crops, fruits, or vegetables	7	7	7
Rearing livestock, poultry, fish, or bees and selling it or its byproducts	8	8	8
Other (specify) _____	9	9	9
Don't know	98	98	98

H6. What is your primary job (i.e., the job where you spend most of your time)?

READ OUT AND PROBE. CHOOSE CODE FROM THE LIST. SINGLE ANSWER.	
Farmer	1
Professional, i.e., doctor, teacher, nurse	2
Shop owner	3
Business owner	4
Laborer	5
Other (specify) _____	6

H7. What are your secondary or side jobs?

READ OUT AND PROBE. CHOOSE CODE FROM THE LIST. PROBE FOR MULTIPLE ANSWERS.	
Farmer	1
Professional, i.e., doctor, teacher, nurse	2
Shop owner	3
Business owner	4
Laborer	5
Other (specify) _____	6
None	7

H8. How frequently do you receive your main source of income – daily, weekly, monthly, annually, after a certain period of time, or according to the harvest?

READ OUT. SINGLE ANSWER.	1=Daily 2=Weekly 3=Monthly 4=Annually 5=After a certain period of time 6=According to the harvest 98=Don't know
Earning wages or salary from regular job	
Earning wages from occasional job	
Running own business in retail or manufacturing (selling or making goods)	
Running own business by providing services	
Getting a grant, pension, or subsidy of some sort	
Getting money from family or friends	
Growing something and selling it, such as crops, fruits, or vegetables	
Rearing livestock, poultry, fish, or bees and selling it or its byproducts	
Other (specify) _____	

H9. Are there any other ways that you get income?

READ OUT. PROBE FOR MULTIPLE ANSWERS.	
Buy/get agricultural products from farmers and process it/change it to another form (e.g., maize to flour)	1
Buy/get agricultural products from farmers/processors and sell it	2
Provide a service to farmers or processors of farming products (e.g., renting ploughs, tractors, other equipment)	6
Rent land to farmers for farming purposes	7
Other (specify) _____	8
No other way	9

H10. Do you receive income from any of the following?

READ OUT. ACCEPT MULTIPLE ANSWERS. SINGLE ANSWER PER ROW.		
Government benefits (pension, disability, welfare, etc.)	1	
Remittances/monetary or other help from family or friends	10	SKIP TO H14
Other donor/NGO benefits	13	
Occasional paid assignments, labor for hire	14	
Occasionally sell my belongings	15	
Other (specify) _____	17	

H11. You said you receive a payment from the government (benefits, welfare, stipend, grant or another payment). How do you usually get this payment?

READ OUT. MULTIPLE ANSWERS.	
Direct deposit to a bank account	1
Personal pick-up in cash	2
Personal pick-up in cheque	3
Courier delivery	4
Deposit to your m- money account	6
Deposit to an agent's m-money account	7
Deposit to another person's m-money account	8
Digital card	9
Western Union/ Money Gram	10
Other (specify) _____	11
Don't know	98

H14. How often do you make each of the following expenses?

READ OUT. SINGLE ANSWER.	1=At least once a week 2=At least once a month 3=A few times a year 4=Once a year 5=According to the harvest 6=Never 98=Don't know
Grocery purchases	
Transportation	
Medicine, medical payments, hospital charges	
Educational expenses, school fees	
Bills: utility bills, mobile phone and airtime, rent, taxes, etc.	
Emergency expenses (specify) _____	
Investment in business, farm or future, e.g., buying livestock, land, seeds, equipment and machinery, etc.	
Make a large purchase, such as TV, car or bicycle, house, etc.	
Home repairs	
Other (specify) _____	

F62. MATCH TO D8. ASK ONLY IF D8 IS BETWEEN 0 AND 7 OR 99. Can you read any part of these sentences to me?

DO NOT READ. SINGLE ANSWER	
Cannot read at all	1
Able to read only parts of sentences	2
Able to read whole sentences	3
No card with required language	4
Blind / visually impaired.	5
Refused	6

1. Parents love their children.
2. Farming is hard work.
3. The child is reading a book.
4. Children work hard at school.

F63. Do you have any of the following types of an official identification?

READ OUT. ACCEPT MULTIPLE ANSWERS	1=Yes	2=No
Government-issued ID/National ID		
East African Passport		
International Passport		
Driver's license		
School-issued ID		
Voters card		
Ration card		
Employee ID (For Government / Civil Servants)		
Military ID		
Birth Certificate		
Village/LC ID		
Other (Specify) _____		